_	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA	Document No.	BEC/EAC/PSLE/G01.v4
EXAMINATIONS COUNCIL	Effective Date	2020/09/11
Title Conduct of Examinations – 2020 PSLE		ations – 2020 PSLE



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Document Reference: BEC/EAC/PSLE/G01.v4

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	Function	PSLE
	Document Type	Guidelines
BOTSWANA	Document No.	BEC/EAC/PSLE/G01.v4
EXAMINATIONS COUNCIL	Effective Date	2020/09/11
Title Conduct of Examinations – 2020 PSLE		ations – 2020 PSLE

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	Name	Date
	Gladness Richard	
Prepared by:	Faridah Motlhanka	2016/05/26
Frepared by.	Khatazo Gwakuba	2010/03/20
	Annah Lewanika	!

Approval - Document Sign off

Name	Role	Date	Signature
Annah Lewanika	Acting Manager Programmes, PSLE & JCE	11/09/20	Signed
Dorcas Morake	Director, EAC	11/09/20	Signed

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	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type:	Guidelines
BOTSWANA	Document No:	BEC/EAC/PSLE/G01.v4
EXAMINATIONS COUNCIL	Effective Date	2020/09/01
Title	Conduct of Examinations – 2020 PSLE	

 13.3 Online results, 13.4 Candidates results service, 13.5 Provisional results despatch, 16 Appeals process. Appendix G - Certificate of Invigilation Appendix H - Invigilation Register - Training Invigilators Appendix I - A brief guide to the Conduct of examination during Covid-19 Pandemic Phase Appendix J - Exam Room Entry Register
Appendix J - Exam Room Entry Register

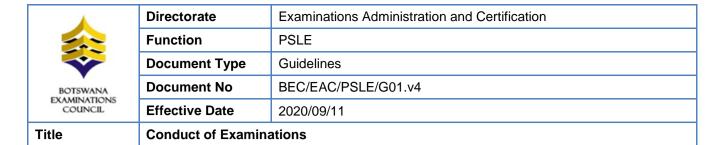
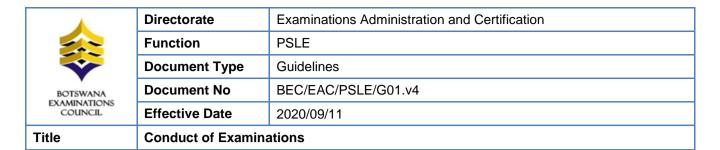
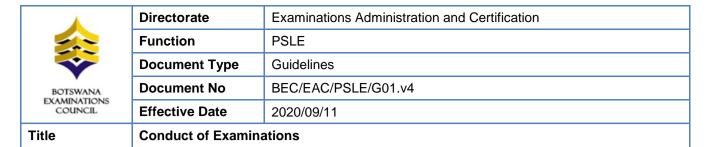


TABLE OF CONTENTS

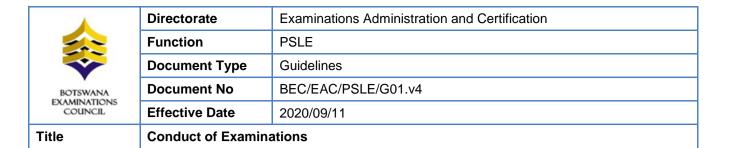
IMPO	RTANT NOTES (EDITED)	7
1.	INTRODUCTION (NEW)	8
2.	SCOPE	8
3.	REFERENCES	8
4.	TERMS AND ABBREVIATIONS	9
4.1	TERMS AND DEFINITIONS	9
4.1.1	Head of Centre/Chief Invigilator	
4.1.2	Invigilator	
4.1.3	Examination Centre	g
4.2	Abbreviations	9
5.	SCHEME OF EXAMINATION	9
6.	DECLARATION OF INTEREST	10
7.	DUTIES OF THE CENTRE	10
7.1	GENERAL	10
7.2	DUTIES OF THE HEAD OF CENTRE/CHIEF INVIGILATOR	10
7.3	Duties of Invigilators	13
7.4	DUTIES OF A ROVER	14
7.5	INSPECTIONS AND QUALITY ASSURANCE(NEW)	14
7.6	Candidates(New)	15
7.7	Examination Administration(NEW)	
7.8	SUPPORT AND COMMUNICATION WITH BEC(New)	
7.8.1	Correspondence with BEC	
7.8.2	Communication Method and Details	
7.9	SOFTWARE AND ONLINE SERVICES (NEW)	
7.10	OWNERSHIP OF QUESTION PAPERS (New)	
7.11	COPYRIGHT IN EXAMINATION MATERIAL (New)	
7.12	OWNERSHIP OF ASSESSED MATERIAL(NEW)	
8.	TIMETABLE	18
9.	EXAMINATION MATERIALS	19
10.	CANDIDATES WHO WRITE EXAMINATIONS UNDER ADVERSE CONDITIONS	20
10.1	APPLICATION FOR SPECIAL CONSIDERATION	20
10.2	ADMINISTRATION OF EXAMINATIONS FOR CANDIDATES WITH SPECIAL NEEDS	20
11.	CONDUCT OF THE EXAMINATION	21
11.1	IMPORTANT	21
11.2	RECEIPT OF QUESTION PAPERS	22



11.3	RECEIPT OF OTHER MATERIALS	22
11.4	SECURE STORAGE OF EXAMINATION MATERIALS	23
11.5	EXAMINATION ROOMS	24
11.6	SPACING OF DESKS	25
11.7	SEATING PLAN	25
11.7.1	Completion of Seating Plan	26
11.7.2	Sitting Arrangements	. 26
11.8	INVIGILATION	27
11.8.1	Unauthorised Entries (New)	. 27
11.9	AUTHORISED PERSONNEL (NEW)	27
11.10	UNAUTHORISED PERSONS	. 27
11.11	INVIGILATION ARRANGEMENTS	28
11.12	AT THE BEGINNING OF THE EXAMINATION	29
11.13	IDENTIFICATION OF CANDIDATES	29
11.14	COLLECTING THE QUESTION PAPER TO ADMINISTER TO CANDIDATES	29
11.15	DISTRIBUTION OF QUESTION PAPERS, ANSWER SHEETS AND OTHER MATERIALS TO CANDIDATES	29
11.16	CHECKING QUESTION PAPER PACKETS IN THE EXAMINATION ROOM	30
11.17	STARTING THE EXAMINATION	. 31
11.18	PROCEDURE TO FOLLOW IN THE EVENT A WRONG QUESTION PAPER PACKET IS OPENED (NEW)	. 33
11.19	IF THE ERROR IS DISCOVERED BEFORE THE QUESTION PAPERS ARE ISSUED OUT: (New)	. 33
11.20	IF THE ERROR IS DISCOVERED AFTER THE QUESTION PAPERS HAVE BEEN DISTRIBUTED: (New)	34
11.21	Shading the Answers	34
11.22	DURING THE EXAMINATION	35
11.22.1	Invigilation of Examination	. 35
11.22.2	Timing	. 35
11.22.3	Supervision of Candidates	. 36
11.22.4	Late Arrival of Candidates	. 36
11.22.5	Zato / William or Carrandates	
	Attendance Register	
11.22.6		. 37
11.22.6 11.22.7	Attendance Register	. 37 . 37
_	Attendance RegisterLeaving the Examination Room	. 37 . 37 . 38
11.22.7	Attendance Register Leaving the Examination Room Irregular Conduct	. 37 . 37 . 38 . 38
11.22.7 11.22.8	Attendance Register Leaving the Examination Room Irregular Conduct Emergencies	37 37 38 38 39
11.22.7 11.22.8 11.23	Attendance Register Leaving the Examination Room Irregular Conduct Emergencies At the End of the Examination	37 37 38 38 39
11.22.7 11.22.8 11.23 11.23.1	Attendance Register Leaving the Examination Room Irregular Conduct Emergencies At the End of the Examination Finishing the Examination	. 37 . 38 . 38 . 39 . 39
11.22.7 11.22.8 11.23 11.23.1 11.23.2	Attendance Register Leaving the Examination Room Irregular Conduct Emergencies At the End of the Examination Finishing the Examination Collection of scripts, answer sheets and question papers	37 38 38 39 39 39
11.22.7 11.22.8 11.23 11.23.1 11.23.2 11.24	Attendance Register Leaving the Examination Room Irregular Conduct Emergencies At the End of the Examination Finishing the Examination Collection of scripts, answer sheets and question papers After the Examination Collecting Examination Materials Despatch of Scripts	37 38 38 39 39 39 40 40
11.22.7 11.22.8 11.23 11.23.1 11.23.2 11.24 11.24.1	Attendance Register Leaving the Examination Room Irregular Conduct Emergencies AT THE END OF THE EXAMINATION Finishing the Examination Collection of scripts, answer sheets and question papers AFTER THE EXAMINATION Collecting Examination Materials Despatch of Scripts Unused Stationery	37 38 38 39 39 39 40 40 41
11.22.7 11.22.8 11.23 11.23.1 11.23.2 11.24 11.24.1 11.24.2	Attendance Register Leaving the Examination Room Irregular Conduct Emergencies At the End of the Examination Finishing the Examination Collection of scripts, answer sheets and question papers After the Examination Collecting Examination Materials Despatch of Scripts	37 38 38 39 39 39 40 40 41



12.1	Introduction	42
12.2	RESPONSIBILITIES OF BEC	43
12.3	RESPONSIBILITIES OF THE HEAD OF CENTRE	43
12.4	COMMUNICATION	44
12.5	FORMS OF MALPRACTICE	44
12.5.1	Suspected Candidate Malpractice	44
12.5.2	Suspected Centre Staff Malpractice - Breach of Security	45
12.5.3	Suspected Centre Staff Malpractice - Deception	46
12.5.4	Suspected Centre Staff Malpractice - Improper Assistance to Candidates	46
12.5.5	Suspected Centre Staff Mal-administration	47
12.6	SANCTIONS AND PENALTIES	47
12.7	SANCTIONS AND PENALTIES AGAINST CANDIDATES	48
12.7.1	Written Warning	48
12.7.2	Loss of Marks for Part of a Component	49
12.7.3	Loss of Marks for a Component	49
12.7.4	Disqualification from a Syllabus	49
12.7.5	Disqualification from All Syllabuses Taken In That Series	49
12.7.6	Candidate Debarral	49
12.8	SANCTIONS AGAINST CENTRE STAFF	49
12.9	SANCTIONS AND PENALTIES AGAINST CENTRES	50
12.10	HANDLING SUSPECTED MALPRACTICE IDENTIFIED BY EXAMINERS OR MODERATORS	
12.11	HANDLING SUSPECTED MALPRACTICE IDENTIFIED BY A CENTRE	52
12.12	HANDLING SUSPECTED MALPRACTICE IDENTIFIED BY OTHERS	
12.13	PROCEDURES FOR DEALING WITH SUSPECTED MALPRACTICE AND MAL-ADMINISTRATION	
12.14	BEC'S RESPONSE TO AN ALLEGATION OF MALPRACTICE	
12.15	PROCEDURE FOR INVESTIGATION OF SUSPECTED MALPRACTICE	
12.16	RIGHTS OF INDIVIDUALS	
12.17	Reporting	
12.18	COMMUNICATING DECISIONS	
12.19	APPEALS	
12.20	PROCEDURE FOR APPEAL AGAINST DECISIONS	56
13.	GRADING AND AWARDING	56
13.1	SYLLABUS GRADES	56
13.2	OVERALL GRADES	57
14.	ENQUIRIES ABOUT RESULTS	57
15.	CERTIFICATION	58
15.1	ISSUING OF CERTIFICATES	58
15.2	INCORRECT DETAILS OF CERTIFICATES	59
15.3	Name Changes	59



15.4	COMBINING GRADES FROM DIFFERENT EXAMINATION SERIES	60			
15.5	LOST CERTIFICATES	60			
15.6	DAMAGED CERTIFICATES	60			
15.7	CERTIFYING STATEMENTS	60			
15.8	VERIFICATION OF RESULTS	61			
15.9	MIGRATION CERTIFICATE	61			
16.	APPEALS PROCESS (NEW)	61			
16.1	APPEALS MAY BE MADE AGAINST (NEW)	62			
16.2	WHO CAN APPEAL	62			
16.3	APPEALS AGAINST THE OUTCOME OF ENQUIRIES ABOUT RESULTS (NEW)	62			
16.3.1	Making a Stage 1 Appeal against the Outcome of an Enquiry about Results (New)	62			
16.3.2	Making a Stage 2 Appeal against The Outcome Of Enquiries About Results (New)	64			
16.3.3	Appeals against access arrangements, special consideration and late arrival decisions (Ne	-			
16.3.4	Making a stage 1 Appeal against an access arrangement, special consideration or late arrideration decision (New)				
16.3.5	Making a Stage 2 Appeal Against Access Arrangement, Special Consideration or Late Arriv				
16.4	APPEALS AGAINST MALPRACTICE DECISIONS (NEW)	66			
16.4.1	Making a stage 1 Appeal Against Malpractice Decision (New)	67			
16.4.2	Making a Stage 2 Appeal against a Malpractice Decision (New)				
16.5	FEES AND COSTS	69			
APPE	NDIX A	1			
APPE	NDIX B	1			
APPE	NDIX C	1			
APPE	APPENDIX D1				
APPE	APPENDIX E1				
APPE	APPENDIX F				
APPENDIX G1					
APPENDIX H1					
APPE	APPENDIX I1				
APPE	APPENDIX J1				

_	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA	Document No	BEC/EAC/PSLE/G01.v4
EXAMINATIONS COUNCIL	Effective Date	2020/09/11
Title	Conduct of Examinations	

IMPORTANT NOTES (Edited)

- This document contains guidelines on the conduct of the Primary School Leaving Examinations (PSLE).
- The guidelines should be read in conjunction with other BEC documents e.g. Manual for Invigilators, Standards for Secure Storage of Examination Materials at BEC Centres, Access Arrangements and Special Considerations; A Guide for Centres, Guidelines for Registration of Candidates and other related documents.
- The current Regulations should also be consulted at the time of registration for an examination.
- A copy of the Regulations should be available in every examination room.
 Invigilators should also be familiar with the regulations
- All correspondence relating to the contents of this document should be directed to the Chief Executive Officer, Botswana Examinations Council

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

1. Introduction (NEW)

- 1.1 The regulations and procedures detailed in these guidelines, apply to examination assessments and qualification provided by Botswana Examinations Council. They also reference the Manual for Invigilators and Standards for Secure storage of Examination Material at BEC centres.
- **1.2** Throughout these Guidelines, 'You' and 'Your' refer to the Centre; 'We' and 'Our' refer to Botswana Examinations Council (BEC).
- **1.3** By registering with us and submitting entries, you agree to be bound by the terms and conditions, processes and procedures set out in this guidelines and related documents.
- 1.4 We reserve the right to alter the guidelines and any of our other documentations with any changes taking immediate effect unless otherwise stated. We will let you know about any changes. You must make sure you are using the current examination year's version of guidelines and related documents.
- 1.5 There are many different activities that need to take place to successfully deliver an examination. Sometimes it can be challenging to remember what needs to be happening. The cycle includes every phase of the process from registration of candidates to issuing your candidates their certificates.

2. Scope

This document is intended to guide and regulate the conduct of examinations at primary school level. Heads of Centres or Chief Invigilators are advised to ensure that the procedures in the guidelines are strictly complied with at all times. The purpose of these guidelines is to ensure that the administration of the examination is standardised to ensure a fair and credible assessment for all candidates.

The Heads of Centres/Chief Invigilators, Invigilators and Rovers are advised to familiarise themselves with the regulations in these guidelines before the actual examinations takes place. The guidelines should be brought along to the examination room to consult during the examination.

3. References

\triangleright	BEC/EAC/DP/G01	Registration of PSLE for School candidates
\triangleright	BEC/EAC/G01	Access Arrangements and Special Consideration: A Guide for
>	BEC/EAC/Man01	Centres Standards for Secure Storage of Examination Materials at BEC Centres
>	BEC/EAC/PSLE/Man01	

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

4. Terms And Abbreviations

4.1 Terms and Definitions

4.1.1 Head of Centre/Chief Invigilator

A person responsible for an institution approved by BEC as a Centre is defined for the purposes of these Guidelines as the Chief Invigilator.

4.1.2 Invigilator

Is a person appointed by the Chief Invigilator or BEC to ensure that the examination is conducted in accordance with the Guidelines contained in this Guideline and or any other instructions which may be issued by BEC.

4.1.3 Examination Centre

Is a BEC approved institution or place where examinations are conducted in accordance to the Guidelines and or other instructions laid down by BEC.

4.2 Abbreviations

BEC Botswana Examinations Council

EAC Examinations Administration and Certification

PSLE Primary School Leaving Examinations

5. Scheme Of Examination

The scheme of examination makes provision for candidates who are studying through non-formal means as well as those engaged in full-time education. The examination is held once in every October. This includes written examinations in Agriculture, Letter and Composition in English and Setswana. The examination is designed for candidates who have completed seven years of primary education or its equivalent.

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

The examination is offered in the following subjects, and the scheme of assessment is as follows:

Syllabus	Syllabus Code	Components	Component Code	Type of Questions
Setswana	01	Paper 1	01/01	Multiple-choice
		Paper 2	01/02	Composition and Letter
English	02	Paper 1	02/01	Multiple-choice
		Paper 2	02/02	Composition and Letter
Mathematics	03	Paper 1	03/01	Multiple-choice
Science	04	Paper 1	04/01	Multiple-choice
Social Studies	05	Paper 1	05/01	Multiple-choice
Agriculture	06	Paper 1	06/01	Short-answer
Religious and Moral Education	07	Paper 1	07/01	Multiple-choice

6. Declaration Of Interest

Heads of Centres/ Chief Invigilators whose children or close relatives will be sitting for the examinations should declare their interest by completing **BEC/EAC/PSLE/G01/F01** – **Declaration of Interest** - **PSLE** and send the completed form to the Chief Executive Officer, Botswana Examinations Council (BEC) and copy the correspondence to Regional Directors. All invigilators whose children or close relatives will be sitting for the examinations should declare their interest by writing to the Head of Centre/ Chief Invigilator.

7. Duties Of The Centre

7.1 General

The centre through the Chief Invigilator is responsible for all aspects of the administration and conduct of BEC examinations which take place in the institution. The Head of Centre/Chief Invigilator may delegate the responsibility of Chief Invigilator to any appropriate personnel within the institution. However, the overall accountability of the administration remains with the Head of Centre.

7.2 Duties Of The Head Of Centre/Chief Invigilator

Note: For section 7.1 to 7.3 refer to BEC/EAC/PSLE/G01 - Manual for Invigilators

The Head of Centre/Chief Invigilator is responsible for:

7.2.1 Being the liaison person between BEC and the centre;

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- **7.2.2** Ensuring that the receipt and security of examination materials, distribution of the examination papers to the candidates, collection of scripts at the end of each examination and delivery of the scripts to BEC are all carried out in accordance with these regulations;
- **7.2.3** Ensuring that candidates are provided with all of the materials specified in the question paper and in any other additional materials list provided by BEC;
- **7.2.4** The appointment of suitable invigilators and ensure that they are trained in order for them to familiarise themselves with the instructions contained in these regulations. Kindly make sure all the invigilators who attended the training workshop on invigilation in your centre, fills in and signs the attendance form for training and the Chief Invigilator mails it back to BEC before the start of the examinations (NEW).
- **7.2.5** The constant and effective supervision of the candidates;
- **7.2.6** The submission to BEC, in accordance with the instructions given when the information is requested, details of estimated and actual entries and any other information that BEC may reasonably require in relation to examinations conducted at the centre;
- 7.2.7 Ensuring that each candidate entered through the centre receives details of the dates and times of their examinations, the candidate's provisional results and any certificate issued by BEC:
- **7.2.8** The submission of any applications for changes to the venue or timetable in accordance with these Guidelines:
- **7.2.9** Making applications for Access Arrangements and Special Consideration in accordance with the Guidelines and guidance;
- **7.2.10** Providing suitable accommodation for candidates to sit the examinations;
- **7.2.11** Ensuring that all candidates in the examination, including private candidates, are identified;
- 7.2.12 Providing to BEC reports of any suspected or alleged cases of malpractice by a candidate or malpractice/maladministration by a member of staff discovered by the centre. The Chief Invigilator is further required to assist any investigation which ensues from any alleged malpractice either reported by the centre or suspected by BEC, and to provide such information and advice as BEC may reasonably require;
- **7.2.13** Submitting and processing of enquiries about results in accordance with the regulations;
- **7.2.14** Ensuring that BEC is notified when the centre changes premises;
- **7.2.15** Facilitate any inspection of the centre by or on behalf of BEC. BEC will carry out unannounced inspections when examinations are taking place and centres must co-operate with the inspector, including allowing access to secure storage. BEC may also inspect the

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

arrangements for the secure storage of confidential materials at times when examinations are not taking place;

- **7.2.16** Where required, remit examination fees at the time specified by BEC;
- **7.2.17** Inform the BEC about any candidates who might need any special consideration during the examinations;
- 7.2.18 Receive parcels containing question papers and answer sheets. As soon as they receive them, they should check to see if there is a sufficient number of question papers in each subject, and enough answer sheets as shown on the outside of each envelope. They should not open the envelopes containing the question papers. They may, however, open the envelope containing the answer sheets and check that they are enough. They will then wrap answer sheets and keep them in safe custody;
- **7.2.19** Check to see if they have the right examination parcels for the entire examination process;
- **7.2.20** Ensure proper conduct of the examination in accordance with instructions in this Guideline, and any other instructions which may be issued by BEC from time to time;
- **7.2.21** Provide adequate and suitable accommodation as well as sufficient and appropriate materials for the candidates;
- **7.2.22** Check and sign attendance registers for each of the examinations after completion by invigilators;
- **7.2.23** Ensure that all candidates are informed about the requirements of the examination well before the examination starts;
- **7.2.24** Ensure that invigilators at the centre understand their duties and conduct the examination properly in accordance with instructions in this Guideline;
- 7.2.25 Go through the Guidelines for Conduct of PSLE with invigilators to ensure common understanding by the invigilators. A mini workshop may have to be conducted for all the invigilators or teachers to ensure that examinations are administered in a fair and credible manner;
- **7.2.26** In case the examinations were received by another person during the absence of the Heads of Centre, they should check that all envelopes have been received and are intact;
- **7.2.27** Ensure that the examination room/s is/are designated for examination purposes only at that time. There should be no teaching aids, maps or papers hanging on ceilings or on walls of the rooms as this may assist candidates in answering questions. Examination rooms should be friendly and conducive for examination purposes:
- **7.2.28** The roving invigilator should be easily accessible to invigilators at all times;

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- 7.2.29 forwarding Statements of Results to candidates without delay;
- **7.2.30** Distribute all certificates to candidates without delay with a record kept of those issued. Where certificates are not handed to candidates personally, the Head of Centre is responsible for obtaining acknowledgement of receipt from the candidate;
- 7.2.31 Retain all unclaimed certificates under secure conditions for a minimum period of twenty-four (24) months from the date of issue. At the end of this period, unclaimed certificates should be returned to BEC.

7.3 Duties of Invigilators

Invigilators are required to conduct the examination in accordance with instructions contained in this Guideline and any other instructions which may be issued by BEC.

- **7.3.1** The invigilators must move from one candidate to another to check each entry, i.e. NAME, CENTRE NAME, CENTRE NUMBER, STUDENT NUMBER and the SYLLABUS NAME.
- **7.3.2** Invigilators shall ensure that candidates understand and follow all instructions and regulations.
- **7.3.3** A day before the start of the examinations, invigilators should check that they have all the necessary materials for the examination and that envelopes containing question papers have not been opened.
- **7.3.4** Where there is suspicion that any of the envelopes could have been tampered with, the invigilators must notify the Chief Invigilator immediately who will then notify BEC.
- **7.3.5** Invigilators shall check that the seating arrangements for the candidates are suitable and that materials provided by the centre such as pencils, rubbers, rulers etc, are available in sufficient numbers.
- 7.3.6 Invigilators may not assist the candidates with answering examination questions. Once the candidates have begun answering the questions, the invigilator must not repeat instructions, nor explain difficult words, phrases or concepts contained in the questions. They should not in any way indicate to candidates whether the question has been answered correctly or incorrectly. In short, they must never assist or encourage the candidates towards the choice of an answer.
- **7.3.7** Invigilators must not bring cell phones, books, newspapers, magazines or any reading material into the examination room nor, write or read anything that is not in line with their duties while the examination is in progress.
- **7.3.8** Invigilators shall maintain silence throughout the examination and even disallow whispered conversations as they may distract candidates.

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

7.3.9 Invigilators shall disengage from unnecessary conversation with non-invigilators outside or inside the examination room.

7.4 Duties of a Rover

Rovers are responsible for ensuring that invigilators and candidates get whatever assistance they require during the examination.

- **7.4.1** The following are the duties of the rover:
- take up a suitable position outside the examination rooms in order to provide assistance to
 the invigilators. Rovers may not be in the examination room unless summoned by the
 invigilator during the period of the examination. In no circumstance should rovers distribute
 question papers or stationery nor assist with the collection of candidates answer books
 following each examination.
- ensure as far as possible that no disturbance occurs outside the examination room which could distract the candidates from their work.
- must not leave their position for any reason other than when they are requested by the
 invigilator to summon for assistance from the Head of Centre or Chief Invigilator or
 accompany a candidate who has been given permission to leave the examination room
 temporarily.

7.5 Inspections and Quality Assurance(New)

Inspections are conducted to ensure that centres abide by the regulations and rules as set out in the guidelines to maintain the integrity of the examinations.

- **7.5.1** The Centre must cooperate with and facilitate any visit of or an inspection BEC makes, either arranged or unannounced, including giving the inspectors access to secure storage area and examination area, even if examinations are taking place when the visit or inspection takes place.
- 7.5.2 The Centre must cooperate with any quality assurance activities that BEC may requests. Centres must respond within the specified timeframes and the answers must accurately describe the Centres premises, systems and procedures. BEC reserves the right to photograph the premises and materials relating to assessments including candidate work, as part of the inspection process.
- **7.5.3** BEC may carry out a re-inspection if a Centre changes premises or facilities, or requests to be approved to offer an additional qualification.
- **7.5.4** Usually the inspections are unannounced and take place during a series. However, BEC may inspect a centre outside the main examination period. A Centre may be inspected in any part

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

of a centre's premises or systems or procedures connected with the delivery of the assessment.

- **7.5.5** BEC may withdraw centre status if the inspection indicates that the Centre does not meet the standards or regulations.
- **7.5.6** Inspectors must be accompanied by a member of the Centre staff at all times during their visit.
- **7.5.7** In addition to Inspections, Centres must assist BEC in carrying out any reasonable monitoring activities necessary for the secure and effective delivery of assessment.

7.6 Candidates(New)

- **7.6.1** BEC does not communicate directly with school candidates about administration of examinations. Centres must communicate with candidates with respect to every aspect of BEC programmes, qualifications and assessments. Centres must provide their candidates with:
 - the dates and times of their assessments,
 - confirmation and details of entry for each assessment,
 - · confirmation and details for their provisional results for each assessment, and
 - every results and every certificate issued for them.

7.7 Examination Administration(New)

To make sure examinations are administered effectively, Centres must abide by the deadlines set out in this guidelines or related documents or otherwise communicated by BEC, send or capture in Malepa application:

- Personal details of candidates.
- For application of Special Consideration centres must follow the regulations set out in
 BEC/EAC/G01 Access Arrangement and Special Consideration; A Guide for Centres.
- **7.7.1** Centres must keep the examination materials secure at all times after they have received them.
- **7.7.2** Centres must check that they have received the correct version of materials for the series.
- **7.7.3** Centres must carry out the following in accordance with the guidelines:
- Secure storage of all examination material.
- Distribution of examination papers to candidates.
- Collection of scripts, question papers and any other material at the end of each examination and secure return of scripts.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- **7.7.4** The Head of Centre must appoint suitable invigilators and must make sure examinations are carried out in accordance with these Guidelines:
- **7.7.5** Candidates must be constantly and effectively supervised during any examination.
- 7.7.6 The centre must work with BEC to prevent malpractice and in particular, the Centre must:
- make sure candidates are aware of restricted materials not allowed in the examination room.
- immediately report any established suspected or alleged cases of malpractice.
- assist in any investigation into suspected malpractice
- promptly provide information and advice regarding suspected malpractice
- promptly provide information and advice BEC may require to assist with any investigation
- **7.7.7** The Centre must submit and process any enquiries about results or appeals in accordance with the regulations set out in these guidelines.
- **7.7.8** Centres must keep unclaimed certificates under secure conditions for a minimum of 24 months from date of issue and not destroy unclaimed certificates.

7.8 Support and Communication with BEC(New)

7.8.1 Correspondence with BEC

- All correspondence between Centres and BEC must be in English. This applies even when the assessments are offered in a language other than English.
- The Head of Centre or the designated Chief Invigilator may sign letters from the Centre to Botswana Examinations Council. Legal notices must be signed by the responsible person.
- When Centres receive a communication or an email from BEC, which requires a response, they
 must respond within the specified time frame.
- Centres must include the following in all messages to BEC;
 - Centre Name and Centre Number
 - Name, position and title of the member of staff sending the message and for continuing correspondence. The reference number included in BEC initial response should be reflected.

7.8.2 Communication Method and Details

Written

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

 For general administrative queries, BEC prefers Centres to use formal written correspondence addressed to the Chief Executive Officer at:

Botswana Examinations Council P/bag 0070, Gaborone

- Where possible please supply and communicate using a specific email address that is connected to a particular role at the Centre, for example; Bokgonischool@gov.bw or a web based email (ChiefInvigilator@gmail.com)
- Telephone communication

Telephone: 3650700

Fax: 3164203/3185011 Toll Free No: 0800601025.

7.9 Software and Online Services (New)

The Head of Centre especially for Private Schools who were trained in the use of Malepa system, must make sure that the user names and passwords issued are only given to staff authorised to access the relevant online services or software. For Centres that do not comply BEC may suspend all services with the Centre or withdraw their access rights. For Online Services, contact BEC at:

Malepa support malepasupport@bec.co.bw 3650860/888/855

Website: www.bec.co.bw

Facebook: Botswana Examinations Council

Twitter: @ Bots _Examinations

IMPORTANT NOTE: BEC does not accept responsibility for any misinterpretation of information, advice or guidance given by telephone.

7.10 Ownership of Question Papers (New)

All BEC examination question papers remain BEC property. Centres can use the paper after the examination, in accordance with either:

- the 24-hour Security rule (see section 11.17.14 of these Guidelines) or
- regulations specific to the conduct of a particular component.

BEC retain all intellectual property rights to the question papers.

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

7.11 Copyright in Examination Material(New)

BEC assert its ownership in the copyright and all other intellectual property rights in all the material which BEC delivers to Centres including syllabuses, question papers and other material relating to the programmes and qualifications, except where BEC have attributed work to a third party. Centres may reproduce copies of past question papers and other material for which BEC hold copyright, for internal purposes provided that copies are:

- limited to one copy per candidate entered for the syllabus.
- used exclusively by candidates at the centre in connection with their class work or internal assessment.
- not offered for sale or distribution under any circumstance.
- reproduced with all BEC copyright symbols, trademarks acknowledgement and notices intact.
- not passed to any other third party.
- Centres must not distribute past question papers electronically, including websites, even if they are only accessible over intranet.
- if staff at centres wants to use material owned by BEC in any publications which they are developing, they must ask for permission to do so.

7.12 Ownership of Assessed Material(New)

All material submitted for assessment will become BEC physical property. BEC does not return examination scripts to Centres.

8. Timetable

The final timetable will be issued to all examination centres by the month of May. All centres should ensure that they have the final timetable by mid-June.

- 8.1 All centres should follow the final timetable for the Primary School Leaving Examination. UNDER NO CIRCUMSTANCES SHOULD THE TIMETABLE FROM THE PREVIOUS YEAR BE USED.
- **8.2** Centres are advised to display copies of the timetable in the examination room/rooms throughout the duration of the examination including the room where the question papers are stored.
- 8.3 In order to eliminate chances of opening question papers at the wrong time, question paper packets should be checked against the timetable and be placed in timetable order. Should a wrong paper be opened, the following steps should be taken:
- **8.3.1** If the error is noticed before the question papers are distributed to the candidates, the Head of Centre/Chief Invigilator should be informed immediately. The packet /packets containing the question papers should be sealed in the presence of the Chief Invigilator and the Page **18** of **69**

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

candidates.

- **8.3.2** The Chief Invigilator should inform PSLE Office or the Director (EAC) at BEC and the Regional Director in the respective area immediately by phone, followed by a written report.
- **8.3.3** In the case where question papers have already been distributed to candidates, the question papers should be collected, numbers confirmed and the packet sealed immediately in the presence of the Chief Invigilator and the candidates. The Chief Invigilator should then append their signature and inform BEC immediately for further instruction. The Chief Invigilator should then write a report and fax or email it to BEC.

9. Examination Materials

- **9.1** All materials for each part of the examination, including question papers, will be delivered in good time to enable the Chief Invigilators to check and inform BEC of discrepancies before the examination date.
- **9.2** All examination materials must be thoroughly checked and kept under lock and key in a secure place to which only the Chief Invigilator has access.
- **9.3** The Chief Invigilator will be held responsible for any interference with or leakage of examination information and for the disappearance of any examination materials for their centre before and during the examination. Any such instances will be thoroughly investigated and where appropriate, charges will be laid against the culprit and this may lead to prosecution.
- **9.4** The Chief Invigilator must ascertain that each subject's answer sheets have been delivered and verify that they are enough for their candidates.
- **9.5** The following materials will be supplied to each centre by BEC: question papers, answer sheets, attendance registers, return envelopes, candidates' registration list and boards.
- 9.6 The following materials must be supplied by the centre to each invigilator and for each examination room: A reliable visible clock or watch, a board and chalk, a pencil sharpener, pair of scissors, HB pencils, rubbers, lined paper or blank sheets of papers.
- **9.7** The following materials are **not** allowed into the examination room: calculators, cell phones, pagers, watches with calculators and any other materials not included in this Guideline.
- **9.8** For the composition and letter writing examinations, candidates must use a blue or black pen. **Pencils should not be used.**

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

10. Candidates who Write Examinations Under Adverse Conditions

10.1 Application for Special Consideration

Where a candidate sits an examination under adverse circumstances, the Chief Invigilator may wish to submit an application for special consideration. All such applications must be made in accordance with the instructions provided by BEC (See **BEC/EAC/G01** - *Guidelines for Access Arrangements and Special Consideration: Guide for Centres*).

- 10.1.1 If a candidate falls sick some days before the examination starts and is admitted at a hospital, the Chief Invigilator must inform BEC. The Centre is at liberty to make arrangements with the hospital so that the candidate sits the examination at the hospital or at a nearby centre.
- 10.1.2 The answer sheets or scripts for such a candidate must be labelled properly and sent separately (if the candidate sat the examination at the hospital) or together with the answer sheets of the centre where the candidate sat for the examination but should be packaged separately and labelled properly. A report on the candidate should then be written providing details of the examination and arrangements made and sent to BEC.
- 10.1.3 For candidates who write the examination under adverse conditions like illness, bereavement, BEC/EAC/G01 Access Arrangements and Special Consideration; A Guide for Centres should be consulted and procedures followed. Applications for special consideration should be made on the form BEC/EAC/P14/F01.

10.2 Administration of Examinations for Candidates with Special Needs

- 10.2.1 Centres that have requested for oral response format and the use of a scribe/amanuensis must record the responses on a standard tape or CD/DVD in addition to producing a written script. Furthermore, a writer coversheet must be completed and sent back to BEC. NB: No examination will be presented orally without recording the candidates' responses.
- **10.2.2** If the candidate's disability and/or the nature of the special arrangement might disturb other candidates during an examination, the candidate must take the examination in a separate room and be supervised according to the regulations governing the conduct of examinations.
- 10.2.3 The candidate must be familiar with any special equipment authorised for use in an examination. If support from an amanuensis, a reader, prompter, practical assistant or communicator is required, the candidate must practice with the person acting in this capacity before the examination.
- **10.2.4** The centre is responsible for ensuring that all equipment authorised for a candidate with special needs functions correctly and that there is a member of staff who is familiar with its use.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- **10.2.5** Any difficulties that arise from the nature of the special arrangements, or any unforeseen difficulties encountered by the candidate, should be reported to BEC.
- **10.2.6** The Chief Invigilator is responsible for selecting a writer (Scribe) or reader for approved candidates with special needs. The scribe must write answers exactly as dictated by the candidate.
- 10.2.7 A writer and/or reader must be a responsible adult who is able to produce an accurate record of the answers dictated by the candidate. The person must be able to write legibly. In the case of scientific, mathematical or technical subjects, the writer should have a good working knowledge of the subject being examined.
- 10.2.8 It is not permissible for the writer or reader to also act as the invigilator.
- **10.2.9** Sign language may be used to assist candidates to read the questions but must not be used to explain what the question is asking the candidate to do. The communication of answers (e.g. from a candidate to a writer) is not permissible.
- 10.2.10 Assistive technology devices such as computers should be approved in advance by BEC. When used in an examination, BEC expects a computer to be used as a basic word processor. If other facilities are to be used, BEC will need to be made aware of the hardware and software which the candidate proposes to use. This must include details of the candidate's access to facilities such as thesauruses, calculators, etc. Before approval of use of any devices, BEC must be able to determine the effect, if any, on the reliability of the assessment of the candidate in each subject for which the candidate is registered.

11. Conduct Of The Examination

The Chief Executive Officer may visit or send a representative to visit a Centre at any time before and/or during examinations, to discuss arrangements, to ensure the proper conduct of the examination, and to gain first-hand knowledge of local problems. Such visits will usually be without prior notice.

11.1 Important

The following Guidelines are intended to assist Heads of Centres/Chief Invigilators in conducting examinations strictly in accordance with BEC regulations.

- **11.1.1** The Chief Invigilator is responsible to BEC for the proper conduct of the examination by observance of these instructions. If a situation arises which is not covered by these instructions, advice of BEC should be sought.
- **11.1.2** These instructions refer to the conduct of examinations of all assessments and of all syllabuses/subjects. Additional subject instructions for the conduct of some examinations will also be issued, where appropriate, by BEC.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

11.2 Receipt of Question Papers

- 11.2.1 Question papers and other materials such as answer sheets will be delivered to centres.
- 11.2.2 The Chief Invigilator will be required to sign the delivery receipt form to confirm receipt of the materials. The question papers are delivered in boxes which are marked with the centre name and each box is numbered. The Chief Invigilator is not required to count the question papers but is required to sign the delivery receipt form to confirm receipt of a total number of boxes with numbers in a certain range.
- **11.2.3** Question paper packets and examination materials should be carefully checked on receipt and BEC notified immediately if there are any problems such as:
 - discrepancies between the materials received and the delivery note or despatch note;
 - materials received appear not to meet the centre's requirements;
 - materials have been significantly damaged in transit;
 - there appears to have been a possible breach of security whilst the material was in transit;
 - the seal of a question paper packet is not intact or there is evidence that a seal may have been tampered with;
 - there has been an unexpected delay in delivering the question papers.
- 11.2.4 Immediately after receipt of the boxes containing the question papers, in addition to the requirements in paragraph 10.3.3 Chief Invigilators should make a thorough check to ensure that there are sufficient question papers for each of the examinations which will take place in the centre. Question paper packets should also be checked against the checklists provided by BEC and arranged in timetable date order in the secure store so as to reduce the likelihood of opening a packet of question papers at the wrong time. Any discrepancies or any other problem should be reported immediately to BEC.
- **11.2.5** The packets of question papers and other examination materials must not be opened until the time appointed for the examination, except where BEC instructs otherwise.

11.3 Receipt of other Materials

- **11.3.1** Other materials will be delivered at the same time as the question papers. The details are as follows:
 - Attendance registers
 - Return envelopes

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- Multiple-choice answer sheets
- Hard boards
- Rubber bands
- Delivery note
- **11.3.2** The Chief Invigilator should check to make sure that the quantities of the materials in paragraph 10.4.1 are sufficient to meet the Centre's needs.
- 11.3.3 In case of damaged packets; (New)
 - Damaged or torn examination packets should immediately be reported to BEC
 - Put the damaged question paper packet in a new intact envelop
 - Seal the outer envelope and label it with relevant syllabus and component code as well as the date of exam and session
 - Sign over the seal of the outer envelope and place a clear tape over the signatures.
 - It should be noted that both the person sealing and the witness should sign.

Answer sheets and other examination stationery should be regarded as confidential, and once the quantities have been checked, the materials must be placed in secure storage with the question papers.

11.4 Secure Storage of Examination Materials

- **11.4.1** Question papers, scripts and examination stationery must be stored securely at all times. Acceptable arrangements include:
 - a strong room without windows protected by a door with a high quality lock and a concrete ceiling or roof;
 - a fixed reinforced metal cabinet or other similar container which is contained in a room
 with any windows protected by burglar bars and a concrete ceiling or roof. The room
 would be accessed through a strong door fitted with a good quality lock.

An ordinary lockable filing cabinet in a standard office should not be regarded as satisfactory. (Refer to BEC/EAC/Man01 - Standards for Secure Storage of Examinations Materials at BEC Centres)

- **11.4.2** Keys to the examination storage facilities must be handled by a minimum of two and a maximum of three officers, being the Chief Invigilator and any appointed members. The arrangement should be that one complete set of keys is with the chief invigilator and another set is with another appointed officer to ensure continuity in cases of emergencies.
 - Each key holder should be able to access the examination material independently, in case the other key holders are not available for unexpected reasons. (New)

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- Keep the keys and any access code to both the secure container and the room in a safe place where unauthorised people cannot access them, for example, a small metal key safe with a combination lock. (New)
- **11.4.3** BEC must be informed immediately if the security of the question papers or confidential instructions is at risk through fire, theft, loss, damage, unauthorised disclosure or any other circumstances.
- **11.4.4** Where confidential material is pre-recorded on tapes or other media such as CD-ROM, arrangements must be made, prior to the examination, for such material to be tested according to the instructions issued by BEC and any deficiencies notified immediately to BEC.
- **11.4.5** Examination stationery and materials must be stored securely at all times so that no unauthorised person has access to them.

11.5 Examination Rooms

- 11.5.1 All candidates must sit for the examination at the Centre unless prior permission has been obtained from BEC for candidates to take the examination elsewhere. Any room in which an examination is conducted must provide candidates with appropriate conditions for an examination.
- 11.5.2 Ideally candidates should not be exposed to noise from outside of the examination room, and the Centre should do all it can to minimise any such noise. The Centre should, for example, manage the movement of students in the vicinity of the examination room. There may be sources of noise over which the Centre has no control. For example, there is very little the Chief Invigilator can do about traffic noise from a nearly road, and in such circumstances the Centre may not be criticised.
- 11.5.3 The examination rooms should have adequate lighting in all areas in which candidates are sitting. Ideally, the temperature in the examination rooms should be reasonable. However, BEC appreciates that in many cases this may be difficult to achieve, and Centres may not be criticised for conditions which are beyond their control. In particular, BEC appreciates that the temperature in the examination room may be much the same as in the classrooms in which the candidates have been taught, and they will be familiar with the conditions.
- 11.5.4 BEC/EAC/PSLE/G01/A01 Notice to Candidates should be displayed in a number of locations. In small Centres, the notice should be displayed both inside and outside the examination rooms. In larger Centres where several rooms are used, it may be difficult to display the notice in all of the rooms, and in these cases, the notice should be displayed in a number of prominent locations. The Chief Invigilator should ensure that all candidates have the opportunity of reading the notice (See Appendix A of these Guidelines).

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- **11.5.5** Display material (e.g. maps, diagrams, wall charts) which might be helpful to candidates must not be visible in the examination room. Ideally, all materials should be removed with the possible exception of the Notice to Candidates and perhaps a copy of the Seating Plan.
- **11.5.6** A reliable clock must be visible to each candidate in the examination room. In large examination rooms such as multi-purpose halls, several clocks should be displayed at strategic points. Regular checks should be carried out to ensure that all clocks are in good working order.
- **11.5.7** The centre number, and the start and finish times of each examination, must be displayed and visible to all candidates.

11.6 Spacing of Desks

Important: The distance between the centres of adjacent desks must each be at least 1.25 metres.

- **11.6.1** Desks should have large enough space for the question paper, answer booklet and other materials.
- 11.6.2 The spacing of the desks must be such that it is impossible for one candidate to overlook the work of another, either intentionally or otherwise. In order to achieve this, the distance between the Centre of one desk and the Centre of adjacent desks must be at least 1.25 metres. As part of the preparation for an examination, the Chief Invigilator should ensure that desks are equally spaced in all directions. Candidates should be instructed not to move the desks during the course of the examination.

Centres should be aware that BEC regards the adequate spacing of desks as important, and the Chief Invigilator must make arrangements which allow the minimum separation to be achieved.

11.6.3 As part of the preparation for the examination, cards showing the candidate numbers should be placed on the desks. Desks should be allocated only to candidates who are registered for the paper which is about to take place, and candidates should be seated in candidate number order. In this way it will be easy to identify candidates who attend for the examination although not registered for the paper. The Chief Invigilator should do everything possible to prevent candidates from attending papers for which they are not entered.

11.7 Seating Plan

Centres must produce a seating plan for each examination. A seating plan is a diagram that outlines how the examination room is set up. It shows the positions of tables in the room, the position of each chair and where each candidate is seated. It shows the position of the invigilator's desk and the direction candidates are facing in the examination room.

<u> </u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

Centres must submit all completed seating plans to BEC as one package at the end of the examination. Completed seating plans must be packaged in a separate envelope and dispatched with the scripts.

11.7.1 Completion of Seating Plan

The invigilator's table, (on which the testing materials must be laid out), should be at the front of the candidates.

A typical layout for 35 students appears below:

		INVIGILATOR	R'S TABLE			
0001	0010	0011	0020	0021	0030	0031
0002	0009	0012	0019	0022	0029	0032
0003	8000	0013	0018	0023	0028	0033
0004	0007	0014	0017	0024	0027	0034
0005	006	0015	0016	0025	0026	0035

Using the attendance register, the invigilator should plan the seating of the candidates by either writing their candidate numbers on their desks with chalk or by sticking numbers on their desks with a label using a cello tape/masking tape.

11.7.2 Sitting Arrangements

Candidates should be in their seats at least 15 minutes before an examination session starts. A separate desk should be provided for each candidate. Such physical separation reduces the temptation to copy or to be distracted by movements of someone sharing a desk.

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

11.8 Invigilation

11.8.1 Unauthorised Entries (New)

- If a candidate arrives for an examination they have not been entered for, you can allow them to take the examination providing that:
- The candidate is able to take all the components required for the syllabus option they
 wish to enter. This means you must have enough spare question papers so the
 candidates can sit all the components required for the syllabus option at the appropriate
 time, not just the specific examination.
- You add them to the attendance register for each component they take that they were not previously entered for. Once they have completed all the components required for the entry, contact us immediately.
- We will then mark the candidate's script, enter them for the entry option and issue a grade in the syllabus. You will be charged a late entry for any unauthorised entries.
- If you do not have enough spare question papers for each of the relevant components, contact us immediately and we may be able to send you extra question papers. Each request will be considered on a case by case basis.
- We reserve the right to decline any request received after the late entry deadline, if it is
 not possible for us to despatch the extra question papers in time for the examinations.
 In this case you must tell the candidate they cannot sit the examination as they have not
 been entered for it. You must not photocopy question papers.

11.9 Authorised Personnel (New)

Only persons authorised by the Head of Centre are to be allowed in the examination room. **BEC/EAC/G01/A01** - **Examination Room Entry Register** should be used to capture details of personnel that enter the examination room during a live examination session.

11.10 Unauthorised Persons

The invigilator must not allow unauthorised persons to enter the room where an examination is in progress, nor allow the question papers to be read by anyone other than the candidates until twenty-four hours after the administration of that examination. If the Head of Centre appoints another person as Chief Invigilator, the previous Chief Invigilator becomes an unauthorised person. Only persons authorised by the Heads of Centres are allowed in the examination room.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

11.11 Invigilation Arrangements

Important: There must be at least one invigilator present for every 35 candidates.

- 11.11.1 The Chief Invigilator must ensure that invigilation is carried out by suitably qualified and experienced adults. Whilst the Chief Invigilator has discretion to decide who is suitably qualified and experienced, any relative of a candidate in the examination room is specifically not eligible to serve as the sole invigilator. The Chief Invigilator must ensure that invigilators are fully briefed and trained before carrying out the task of invigilation.
- **11.11.2** Invigilators must give their whole attention to the proper conduct of the examination. Invigilators are not to perform any additional task in the examination room except invigilation.
- **11.11.3** Sufficient invigilators must be appointed to ensure that the examination is conducted in accordance with the following requirements:
 - At least one invigilator must be present for every 35 candidates. Arrangements must be such that each candidate in the examination room can be observed by an invigilator at all times.
 - The Chief Invigilator must make arrangements to ensure that no examination room is left unattended at any time. In particular, when only one invigilator is present in an examination room, they must be able to summon assistance easily without leaving the examination room and without disturbing the candidates. A rover should be readily available to assist the invigilator.
 - A teacher who has prepared the candidates for the subject of the examination during the academic year of the examination should not invigilate a written examination in that subject.
 - A copy of this booklet must be available to each invigilator in the examination room, and the invigilators must be familiar with the Notice to Candidates and any specific regulations relating to the subjects being examined.
 - Centres must keep records of the invigilation arrangements for each examination session, and the Chief Invigilator should ensure that each invigilator signs BEC/EAC/PSLE/G01/A07 Certificate of Invigilation. A copy of the Certificate of Invigilation Certificate has been provided as Appendix G Certificate of Invigilation. Completed Certificates of Invigilation should be retained in the Centre or returned according to instructions issued by BEC.
 - Chief Invigilators should make arrangements for seating plans to be prepared in each of the rooms being used for the examination. Note that seating plans should not be enclosed with answer scripts.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

BEC/EAC/PSLE/G01/A03 - Checklist for Invigilators has been included in the guidelines as Appendix C. The checklist summarises the duties and responsibilities of invigilators.

11.12 At the Beginning of the Examination

When the scheduled time arrives, invigilators open the doors and admit candidates. If there are candidates who have made a late transfer in, they should be assigned the last candidate number, their names should be written at the bottom of the attendance register and the Chief Invigilator must notify BEC. They should not use the numbers they were given at their previous centres.

11.13 Identification of Candidates

The invigilators must be satisfied as to the identity of every candidate attending each examination, and arrangements must be made to carry out adequate checks whenever it is necessary to do so. In the case of small Centres, candidates who are in attendance at the school will be known to the invigilators and identification of these candidates is probably unnecessary. In larger schools, this might not be the case, and checks on the identity of candidates should be carried out. All private candidates must be positively identified by means of a national identity card or passport. The evidence must be presented each time they attend an examination.

11.14 Collecting the Question Paper to Administer to Candidates

- **11.14.1** The Chief Invigilator and Invigilator check to confirm the component to be written on the timetable in the secure storage room.
- **11.14.2** The examination team/committee confirms the number of question paper packets that should be picked for that particular date and time.
- **11.14.3** The Chief Invigilator collects and confirms against the timetable the date on question paper packets.
- **11.14.4** Chief Invigilator passes over the packets to the Invigilator to verify the date time.
- **11.14.5** The Chief Invigilator and Invigilator should **sign** on the timetable against the component to indicate that verification was done and that they have satisfied themselves that they have the right question paper before they leave the secure storage room.
- **11.14.6** The date and time of verification should be next to the signature on the timetable.
- **11.14.7** The Chief Invigilator and Invigilator take the packets to the examination rooms.

11.15 Distribution of Question Papers, Answer Sheets and Other Materials to candidates

The invigilator must take all reasonable steps to ensure that:

Page **29** of **69**

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- the official examination stationery for the particular subject examination is issued to candidates and that no other stationery, including paper for rough work, is provided.
- in the case of objective examinations, invigilators must make sure that all candidates have an HB pencil and an eraser.
- if unauthorised materials have been taken into the examination room, such materials must be placed out of reach of the candidates before the examination commences.
- in the case of objective examinations, candidates must be provided with a pre-printed answer sheet. The answer sheets are personalised with the syllabus, component, Centre number and candidate number. So, each individual answer sheet must be given to the candidate whose name appears on it, and that the invigilator must ensure that the answer sheets relate to the subject and the component concerned. Under no circumstances should an answer sheet be used by a candidate other than the one specified or for the component. So, answer sheets cannot be transferred from one candidate to another or from one component to another. Candidates who might not have personalised answer sheets should be provided with un-personalised answer sheets.

11.16 Checking Question Paper Packets in the Examination Room

- 11.16.1 The invigilator announces;
 - the syllabus name
 - the component code
 - duration of the paper
- **11.16.2** The Invigilator holds each packet of question papers out to the candidates to confirm that the packets have not been tampered with.
- **11.16.3** The invigilator hands over the question paper packets to a number of candidates to verify that it is the correct component for the date and time and that the packets have not been tampered with.
- **11.16.4** The candidates verify and append their names and signatures and date against the component name.
- **11.16.5** The invigilator collects the question paper packets from the candidates once verification is done.
- 11.16.6 The Invigilator makes the final verification and signs next to the candidates' signatures.
- **11.16.7** The Invigilator neatly cuts open the question paper packets using a pair of scissors.
- **11.16.8** The Invigilator distributes question papers accordingly to the candidates in number order. Page **30** of **69**

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

Note: It is the responsibility of the Invigilator to open the question paper packets using a pair of scissors and to make sure that the question papers not damaged. The Invigilator should report to the Chief Invigilator any grounds for suspicion about the security of the examination papers. In such cases, the Chief Invigilator must notify BEC immediately and submit a full written report.

11.17 Starting the Examination

- 11.17.1 An examination is deemed to be in progress from the time the candidates enter the room until all the scripts have been collected. Before candidates are permitted to start work the invigilator must:
 - ensure that candidates are seated in accordance with the prescribed seating arrangements;
 - inform the candidates that they are now subject to the regulations of the examination;
 - warn candidates that any unauthorised materials must be handed in;
 - check that candidates have all the necessary materials to enable them to complete the examination;
 - open the packets of question papers and issue the papers to candidates;
 - draw to the candidates' attention the instructions printed on the front of the question paper, and ask them to check that they have been given the examination paper for the correct subject and component;
 - ensure that details of any erratum notices are brought to the attention of candidates.
 - inform candidates that:
 - they must write their answers legibly in blue or black ink or ball-point pen;
 - correcting fluid and highlighters should not be used;
 - all work (including any rough work) must be done on the examination stationery provided by the centre and that rough work should be crossed through but not obliterated. Candidates should be made aware that all rough work will be included with the answers and sent to BEC;
 - in multiple choice papers, any rough work should be done on the question paper.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- instruct candidates to enter their names, centre number, candidate numbers and the component code/paper details on their answer booklets or answer sheet, and to complete other details as required;
- announce clearly to the candidates when they may begin to write their answers, specifying the time allowed for the paper(s).
- **11.17.2** Remind candidates that they are forbidden from communicating in any way with; or seek assistance from or give assistance to another candidate, whilst they are in the examination room.
- **11.17.3** The sealed envelopes containing the question papers must be shown to all the candidates in the examination room. Candidates should confirm that the envelopes containing the question papers have not been tampered with.
- 11.17.4 Question paper packets must normally remain sealed so that they may be opened in the presence of the candidates. If more than one room is being used, the question paper packets should be opened in one of the rooms in the presence of the candidates. The question papers for other rooms can then be counted and delivered to the rooms by one of the invigilators. This distribution of question papers should take place about 10 minutes before the scheduled starting time of the examination. This should allow sufficient time for the question papers to be distributed to the candidates so that the examination can start at the same time in all rooms.
- 11.17.5 The invigilator must not offer any advice to candidates or make any comment on the questions or the work of the candidates. The invigilator must not give any information to candidates about suspected errors in the question paper except as stated on any erratum notices. The invigilator should never attempt to correct a suspected error, and candidates must attempt the questions exactly as they are presented on the question paper.
- 11.17.6 In the case of objective examinations for PSLE, it may be necessary to ensure that all candidates are able to complete the details on the answer sheets properly and some practice may be required, this could be done in advance of the examinations. In any case, the invigilator should read the instructions to the candidates. The invigilator should speak loudly, clearly and slowly so that all candidates can hear and understand what is being said. The candidates should be instructed to write their names in the space provided on the answer sheet and then shade the ovals which indicate gender, centre number and student number. In order to enable the candidate to complete the form correctly, the invigilator should instruct the candidates to complete each step in turn, and the invigilator should move amongst the candidates to check that the instructions are being carried out correctly.
- **11.17.7** The envelopes containing the question papers for the subject stated on the examination timetable should now be opened for the first time in the presence of the candidates.
- **11.17.8** If there are more than one examination rooms and more than one envelopes, all the envelopes must be opened in one classroom and then the question papers distributed to

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

the invigilators for the other classrooms. In a case where several classrooms are used for examinations, opening of question papers should be rotated such that each classroom becomes a base room for opening and sealing of envelopes.

- **11.17.9** The invigilator should place a question paper on each candidate's desk face down. They must absolutely make sure that each candidate receives only one question paper and leaves it face down until they are instructed otherwise.
- 11.17.10 In case there is a shortage of multiple-choice question papers and they cannot be obtained from BEC or a nearby primary school, the Chief Invigilator must divide the candidates into two groups. The first group would write the examination while the other group would be kept in a classroom under examination conditions except that they will not be writing. The candidates who would not be writing should be detained in one of the rooms and should not be allowed to read any books.
- **11.17.11** The candidates who are first to use the question papers should be instructed not to write anything on the question papers. The second group of students may later use the question papers. The Chief Invigilator must make a report of such an incident to the BEC.
- **11.17.12** In the case of free response papers like Agriculture Paper 1, the Chief Invigilator should inform BEC and in the meantime keep the candidates under strict supervision while the shortfall is being addressed.
- **11.17.13** The candidates and the invigilators should not be in contact with anybody except coinvigilators and the candidates during the time of waiting.
- **11.17.14** No copy of a question paper may be taken from the examination room before the end of the period allotted to that paper. The paper should be kept in safe custody until at least 24 hours after the scheduled finishing time.

11.18 Procedure to Follow in the Event a Wrong Question Paper Packet is Opened (New)

No centre should ever have to issue the wrong question paper if the procedure as stipulated in these guidelines is adhered to. However, if a wrong question paper packet has been opened for PSLE question papers, the following steps should be taken:

11.19 If the error is discovered before the question papers are issued out: (New)

- Draw the attention of the candidates to the error and ask one of them to confirm that the wrong question paper packet has been opened.
- Seal the question paper packets in front of the candidates and ask one of them to sign
 across the seal of the question paper packet. The packet is then placed inside a large
 tamper evident envelope and it is sealed and signed by both the invigilator and one of
 the candidates.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- Apologise to the candidates and quickly replace the wrong question paper and get the examination started.
- The Head of Centre/Chief Invigilator should immediately inform the Director, Examinations Administration and Certification and the Regional Director by phone, followed by a letter.

11.20 If the Error Is Discovered After the Question Papers Have Been Distributed: (New)

- Draw the attention of the candidates to the error.
- Collect all the question papers and ensure that every question paper has been accounted for before you can return them into the question paper packets.
- Explain the seriousness of the situation to candidates and remind them of the consequences of sharing confidential information including electronically.
- Seal the packets in front of the candidates following the same procedure as in 11.19 above.
- In this case it is better to spend a bit more time ensuring that the security of the question papers and the integrity of the examination are maintained before issuing the correct question paper out.
- Issue the correct question paper and note the time when the examination started and when it ended but afford the candidates the full examination time.
- The Head of Centre/Chief Invigilator should immediately inform the Director, Examinations Administration and Certification and the Regional Director by phone, followed by a letter.

11.21 Shading the Answers

- **11.21.1** Each multiple-choice paper consists of sixty questions and each question has four options, one of which is the correct answer. Invigilators should ask candidates to check and verify the number of the questions and options.
- **11.21.2** The candidate must indicate on the answer sheet the ONE answer they consider to be correct by shading the oval which contains the letter which is the same as the answer they have chosen.
- **11.21.3** The candidate does this for each question until time for the examination is exhausted. For each of the seven multiple-choice papers, they shade the answers on a separate answer

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

sheet. Invigilators should make sure that all candidates know how to shade their answers on the answer sheet prior the examination.

- **11.21.4** It must be emphasised that the scoring of the answer sheets is done electronically through scanning, and unless the information is filled in correctly, this may lead to incorrect capture of information and considerable delays in the publication of results.
- **11.21.5** Candidates must not write answers on the question papers but should always use the answer sheets provided by the invigilator. There may be exceptions with some Special Needs candidates and BEC will have authorised it.

11.22 During the Examination

11.22.1 Invigilation of Examination

- The invigilator has to keep moving during the examination period in order to do their job
 effectively and not stand for too long behind or next to a candidate as it may embarrass
 or disturb them.
- If a candidate is permitted to leave the room at any time, the invigilator must make sure
 that their examination paper is left behind closed on their desk with the answer sheet or
 booklet inserted after the front cover.
- Two or more candidates should not be allowed to leave the room at the same time.
- A roving invigilator must accompany the candidate to make sure they are supervised for the duration of the time that they have left the examination room.
- No extra time will be allowed to make up for a routine absence such as a trip to the toilet.
 The only exception to this is where a candidate is allowed supervised breaks as part of an agreed Access Arrangement.
- The roving invigilator must make sure that noise from outside the examination room does not disturb candidates as they write.
- If, during the examination, the invigilator or candidates find that a question has no answer, the invigilator should allow candidates to answer in the normal way. There would be no need to contact BEC. After the examination, the Chief Invigilator should report the problem to BEC.

11.22.2 Timing

At the beginning of each examination session the invigilator must ascertain how much time is allotted to that particular examination paper and must follow it.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- The time for each examination session must be written on the board so that candidates know the starting and finishing times.
- The amount of time left as the candidates' progress with the examination must not be announced until **twenty (20) minutes and five (5) minutes** before the end of the examination.
- The time between the invigilator's announcements: 'START!' and 'STOP!' must be the exact allotted time. No matter how clear directions are for an examination, one or more candidates are sure to misunderstand or misread them. They may mark their answers on the question paper instead of on the answer sheet, or they may fail to continue an examination in the belief that they have finished. Time lost by a candidate through their own failure to understand or follow instructions will not be made up or taken into account during marking.

11.22.3 Supervision of Candidates

Invigilators must supervise the candidates throughout the whole time the examination is in progress and give complete attention to this duty at all times.

11.22.4 Late Arrival of Candidates

- Under normal conditions, a question paper should not be issued to any candidate who arrives more than 30 minutes after the starting time of the examination. However, invigilators should apply this rule with discretion and aim to lessen, as far as possible, hardships caused by, for example, transport delays or illness. If, through late arrival, a candidate either loses a substantial amount of time or is allowed to make up for this lost time at the end of the period allotted to the paper, the matter must be reported to BEC and a "special consideration form" must be completed and sent to BEC.
- In determining whether any of the work completed by a candidate who arrives late can
 be accepted, BEC will pay particular attention to the extent to which it can regard the
 security of the examination as having been maintained, with particular regard to the time
 at which candidates leave the examination room and question papers cease to be
 confidential.
- A candidate who arrives after the start of the examination must not be allowed any extra time after the scheduled end of the examination.
- In all cases where a candidate who is admitted late into the examination room, the following rules apply:
 - the script must be sent to BEC in the normal way;
 - a full written report must be sent to BEC as soon as possible. The report should include the reason for the late arrival of the candidate, including any details of Page 36 of 69

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

special arrangements made. The report should also contain details of the actual start and finish times of the examination and the time at which the candidate started the examination:

- a statement regarding any possible breach of examination security arising from the candidate's late arrival, including information about the extent to which the candidate was under staff supervision from the actual starting time of the examination to the end.
- Where a candidate arrives late for the examination and after the scheduled finishing time, the candidate must be recorded as 'absent'. In such cases, the candidate should be advised that no grade may be issued for the subject.

11.22.5 Attendance Register

- Centres will receive a computer listing of the candidates registered for each examination
 which is to be used as an attendance register. The invigilator must complete the
 attendance register during the course of the examination in accordance with the
 instructions provided. If a candidate who is present for the examination is not shown on
 the attendance register, the candidate's details must be added, again according to the
 instructions provided.
- For each examination, the Chief Invigilator must ensure that the absent candidates are carefully marked according to the instructions in the Guidelines.
- The attendance register should be completed at least thirty minutes after the examination has been in progress so that late arrivals can be accommodated. When all candidates are seated, the invigilator should make an exact count of those actually present and compare the count with the number in the attendance register. Candidates who did not sit for the examination should be marked ABSENT or marked 'X' in the attendance register. Under no circumstances should the attendance register be completed after the candidates have left the examination room.
- Completed attendance registers should be sent to BEC immediately after the last examination has taken place. The attendance registers should not be enclosed with the scripts, but rather packaged separately and dispatched with scripts. The candidate numbers of absent candidates should also be listed in the space provided on the script return envelope. Where more than one envelope is used, all candidates should be listed on the first envelope. Where all candidates are absent for an examination, an empty envelope on which the absent candidates have been listed by candidate number should be submitted to BEC.

11.22.6 Leaving the Examination Room

• Candidates should not be allowed to leave the examination room before the end of the examination. Candidates leaving early cause disruption to other candidates and can lead

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

to an unsatisfactory end to the examination. This is not a requirement of the regulations, but BEC's view is that centres should not allow candidates to leave at any time until the examination is over as this causes anxiety to those candidates who are yet to finish writing.

• If the centre decides to allow candidates to leave before the scheduled end of the examination, they may not do so less than 30 minutes after the official starting time shown on the timetable. A candidate who has finished work and is allowed to leave the examination room early must hand in their work and must not be allowed to take the question paper out of the examination room. The candidate must not be re-admitted into the examination room.

11.22.7 Irregular Conduct

- Wherever practicable, the invigilator should remove and retain any unauthorised material discovered in the possession of a candidate in the examination.
- It is the duty of the Chief Invigilator to ensure that all cases of irregularity or misconduct in connection with the examination are reported to BEC as soon as possible. The Chief Invigilator is empowered to expel a candidate from the examination room, but such action should only be taken when it is felt that the continued presence of a candidate would cause disruption to other candidates (e.g. the presence of an intoxicated candidate or a candidate with emotional and behavioral problems).
- Any infringement of the regulations may lead to a disqualification of the candidate.
 Decisions on any such disqualification will be taken by BEC.

11.22.8 Emergencies

- The invigilator must take the following action in the event of an emergency such as a fire alarm:
 - evacuate the examination room:
 - ensure that all the question papers and examination scripts are left in the examination room; and that the room is secured so that no examination materials leave the room; (New)
 - ➤ ensure that the candidates are supervised as closely as possible whilst they are outside the examination room so as to ensure there is no collusion;
 - ➤ after the candidates have returned to the examination room and before the examination is resumed, where it is feasible to do so, indicate on the candidates' work the point at which the interruption occurred;
 - record the time and duration of the interruption; and allow the candidates the full working time prescribed for the examination;

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- ➤ in the event of there being only a small number of candidates, consider the possibility of moving the candidates and the question papers and scripts to another room in order to complete the examination;
- ➤ make a full report of the incident and of the action taken. The Chief Invigilator should submit any such report to Chief Executive Officer at BEC.

11.23 At the End of the Examination

11.23.1 Finishing the Examination

At the end of the examination, the candidates should be told to stop writing. The candidates should then be instructed to:

- ensure that all the required information has been entered on the script, answer booklet or answer sheet;
- make sure that their answers have been correctly numbered;
- arrange any supplementary answer sheets in the order in which the questions have been attempted. The sheets should then be attached to the back of their answer booklet or question paper using the string or tags provided by the Centre. Paper-clips or staples should not be used.

11.23.2 Collection of scripts, answer sheets and question papers

- Centres are advised to ensure that all scripts are collected and accounted for before the
 candidates are allowed to leave the examination room. Arrangements must be made for
 all scripts or answer sheets to be collected, placed in the order shown on the attendance
 register and checked to ensure that all scripts or answer sheets are present and that
 candidates have used their correct Centre number and candidate number. After
 collation, the scripts or answer sheets should be handed to the person responsible for
 despatching the scripts to BEC.
- No copy of a question paper may be removed from an examination room, or may an
 unauthorised person read the question paper. Once collected, the question papers
 should be placed in the secure store for a period of at least 24 hours after the scheduled
 end of the examination. After the 24 hours have elapsed, the question papers may, at
 the discretion of the Chief Invigilator, be passed to the Centre staff or returned to the
 candidates.
- Scripts and answer sheets are confidential between the candidates and BEC. They may not be read or photocopied by any person prior to despatch.

BOTSWANA	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
EXAMINATIONS COUNCIL	Effective Date	2020/09/11
Title	Conduct of Examinations	

11.24 After the Examination

11.24.1 Collecting Examination Materials

- Immediately after each session, the invigilator should arrange the answer sheets in numerical order/ascending order.
- The Chief Invigilator should then check if the invigilators have arranged the answer sheets in numerical order and that there are no missing answer sheets or scripts.
- They should also check to see if the information required, that is the surname, first name, centre and candidate number and sex have been correctly written and shaded. This must be done in the examination room that was used for opening the envelopes and in front of the invigilators and candidates.
- They will then place the answer sheets between boards and secure them with rubber bands. The boards containing the answer sheets may now be placed in envelopes and then sealed in the presence of candidates.
- If possible, all the script packets for a given component should be tied together to make a single package. Thoroughly check the syllabus code and component code before sealing as failure to do so creates problems of missing packets.
- Chief Invigilator should enter outside the envelopes the centre number (e.g. PS2701), the syllabus code and component code (e.g. 01/01), the number of scripts enclosed (e.g. 50 scripts) and the range of candidate numbers (e.g. 0002-0079). Where only one packet is used, enter "Packet 1 of 1", where two packets are used, enter "Packet 1 of 2" on the first envelope and "Packet 2 of 2" on the second envelope, and so on if more than two packets are used. Any SPED envelopes should be counted amongst all the centre envelopes.
- Under no circumstances must any correspondence, including requests for Special Consideration, be included with the examination scripts. All such correspondence should be sent separately to BEC.
- The envelopes must be sealed in a box and the box addressed to:

The Chief Executive Officer Botswana Examinations Council Private Bag 0070 Gaborone

BOTSWANA	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
EXAMINATIONS COUNCIL	Effective Date	2020/09/11
Title	Conduct of Examinations	

11.24.2 Despatch of Scripts

- The scripts and answer sheets will be collected by Courier to BEC at the end of the examination. Any scripts which are retained in the Centre awaiting collection must be held in secure conditions. Centres will be notified of the Courier Company to be used and the collection times.
- A despatch form provided by BEC must be completed with the details of the consignment and signed by the Chief Invigilator and sent to BEC with the scripts but in a separate envelope.

11.24.3 Unused Stationery

- All unused stationery in the examination room must be collected and checked for any
 used loose sheets which candidates may have overlooked. The stationery should then
 be returned to the Chief Invigilator and stored under secure conditions until required for
 a subsequent examination.
- However, all the remaining multiple choice answer sheets should be safely DESTROYED by the office of the Chief Invigilator AFTER the issuing of certificates for the examination series unless advised otherwise.

11.24.4 Report on the General Administration of Examination

 Centres are expected to each write a detailed report on the administration of examination. BEC is interested in Centre's comments on all aspects of the examination. The report should cover, but not limited to the following areas: delivery of examination materials, timetable and schedules, invigilation and written examinations, maladministration and malpractice.

12. Malpractice and Mal-Administration

Important

Malpractice', which includes mal-administration and non-compliance, means any act, default or practice which is a breach of the BEC Examinations Regulations or which:

- compromises, attempts to compromise or may compromise the process of assessment, the integrity of any BEC qualification or the validity of a result or certificate; and/or
- damages the authority, reputation or credibility of BEC or centre or any officer, employee or agent of BEC or centre.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

These may occur before, during or after the examinations and may not necessarily be confined to events during timetabled examinations.

Mal-administration is unintended actions of negligence resulting in breaches of regulations that may threaten the integrity of BEC examinations.

12.1 Introduction

12.1.1 This part of the *Conduct of Examinations* contains procedures for dealing with malpractice on the part of candidates, centre staff and others involved in the delivery of BEC qualifications. These procedures must be followed in the investigation of all allegations of malpractice and mal-administration.

12.1.2 This part of the Conduct of Examinations:

- identifies the malpractice and mal-administration regulations under which BEC examinations and assessments operate;
- defines malpractice in the context of examinations and assessments;
- sets out the rights and responsibilities of BEC, centre staff and candidates in relation to examination malpractice;
- describes the procedures to be followed when there is reason to suspect that malpractice has taken place.

12.1.3 Instances of malpractice arise for a number of reasons:

- some instances are intentional and intended to give an unfair advantage to a candidate or a group of candidates;
- other instances are unintentional and arise out of misunderstanding of the regulations or carelessness in the application of the regulations;

12.1.4 The individuals involved in malpractice may be:

- candidates;
- teachers or other staff responsible for the administration, conduct and quality assurance of examinations and assessments;
- those involved in the assessment of candidates' work such as assessors, centre staff, examiners and moderators;
- others such as parents or friends of the candidate.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

12.1.5 Regardless of the circumstances or the people involved, BEC has a statutory duty to investigate all allegations of malpractice relating to BEC examinations and assessments. BEC also has a duty to protect the integrity of all BEC qualifications.

12.2 Responsibilities of BEC

- **12.2.1** In order to meet its responsibilities, BEC will:
 - oversee all investigations into alleged or suspected malpractice;
 - withhold the results of the candidates involved until the investigation is complete. BEC will withhold the results of candidates permanently if the circumstances warrant such action;
 - apply sanctions and penalties listed in sections 11.6 to 11.8 in cases where the malpractice is proven;
 - report the circumstances to the Police if a criminal act may have been committed as part of the malpractice.
- **12.2.2** When dealing with suspected malpractice in a centre, BEC will deal primarily with the Head of Centre or their nominated representative.
- **12.2.3** BEC will use its own personnel (or any other designated persons) to investigate suspected cases of malpractice and any breach of security. BEC will also require the Head of Centre to fully provide details of any suspected or confirmed breach of security.

12.3 Responsibilities of the Head of Centre

- **12.3.1** The Head of Centre or their representative is required to:
 - report to BEC (by phone or fax) immediately any incident of actual malpractice or suspicion of malpractice and/or mal-administration as a matter of urgency. Thereafter the Head of Centre is required to conduct a preliminary investigation and submit a written report on the incident within 48 hours. The only exception to this is malpractice in coursework which is discovered before the candidate is registered for the examination;
 - supervise all investigations resulting from an allegation of malpractice. However, if the allegations or suspicions suggest that the Head of Centre may be involved in the Malpractice, the supervision will be sourced from relevant authorities.
 - respond openly and promptly to all requests for an investigation into an allegation of malpractice. The Head of Centre and the staff and candidates in the Centre must cooperate fully with an investigation into an allegation of malpractice;

_	Directorate	Examinations Administration and Certification
BOTSWANA	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
EXAMINATIONS COUNCIL	Effective Date	2020/09/11
Title	Conduct of Examinations	

- pass on to the individuals concerned any warnings or notification of penalties when a
 case is concluded. The Head of Centre must also ensure compliance with any
 instructions or requests made by BEC relating to the future conduct of BEC
 examinations and assessments in the Centre.
- inform candidates and Centre staff of their individual responsibilities and rights as set out in these regulations.
- The responsibilities in section 11.3 also apply to instances of suspected malpractice which involve private candidates entered through the Centre.

12.4 Communication

- **12.4.1** BEC will communicate with the Head of Centre or their nominated representative in all matters relating to an allegation of malpractice, except when the Head of Centre is under investigation. However in some circumstances, BEC may communicate directly with members of staff at the Centre who are suspected of malpractice.
- **12.4.2** BEC will communicate directly with a person who is no longer employed at the Centre being investigated.
- 12.4.3 BEC will communicate directly with a candidate only if the circumstances warrant such communication or if the candidate is a private candidate. For example, BEC might decide to communicate with a candidate if there is a contradiction in the evidence provided by the Centre and the candidate or where it seems that the Centre is not co-operating fully with the investigation. In such cases, the Head of Centre will be informed in writing that BEC proposes to deal directly with the candidate and will be asked not to communicate further with the candidate.

12.5 Forms of Malpractice

The following are examples of forms of malpractice. The list is not intended to be exhaustive, and other examples of malpractice may be identified and considered at the discretion of BEC.

12.5.1 Suspected Candidate Malpractice

The following are examples of acts of malpractice that may be committed by candidates

- **12.5.1.1** the alteration of any results document, including Statement of Results and certificates;
- **12.5.1.2** failing to follow the instructions or advice of an invigilator or other supervisors in relation to BEC regulations which apply to examinations and assessments;
- **12.5.1.3** failure to abide by the conditions of invigilation or supervision which is intended to maintain the security of the examination or assessment;

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- **12.5.1.4** working in collusion with other candidates, beyond what is permitted by the regulations;
- **12.5.1.5** copying from another candidate (including the misuse of ICT to do so);
- **12.5.1.6** allowing work to be copied by another candidate;
- **12.5.1.7** the deliberate destruction of another candidate's work;
- **12.5.1.8** disruptive behaviour in the examination room;
- **12.5.1.9** obtaining or receiving (or attempting to obtain or receive) information which is examination related from another candidate in the examination room, or passing any such information to another candidate in the examination room. This includes communication by speech, in writing and/or other non-verbal communication.
- **12.5.1.10** making a false declaration of authenticity in relation to coursework;
- **12.5.1.11** either giving or receiving undue assistance in the production of coursework;
- **12.5.1.12** being in possession of confidential material in advance of an examination;
- **12.5.1.13** bringing into the examination room unauthorised materials such as notes, study guides, blank paper, electronic devices, etc.
- **12.5.1.14** the inclusion of offensive or obscene material in scripts or coursework;
- **12.5.1.15** pretending to be someone else in the examination (impersonation), or arranging for another person to take one's place in an examination;
- **12.5.1.16** plagiarism; the failure to acknowledge sources properly and/or the submission of another person's work as if it were the candidate's own.
- 12.5.1.17 theft of another candidate's work;
- **12.5.1.18** any attempt to remove or reveal the content of the examination before the Key Time has passed or the examination has ended, whichever is later.
- **12.5.1.19** submitting a piece of work that is not the candidate's original work
- 12.5.2 Suspected Centre Staff Malpractice Breach of Security
- **12.5.2.1** Breaching the confidentiality of question papers or other examination materials or the confidentiality of candidates' scripts. Such breaches include:
 - failing to keep examination materials secure prior to an examination;

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- revealing secure information;
- moving the time or date of an examination without the prior written approval of BEC;
- failing to supervise adequately candidates who are involved in a timetable deviation; (This would, for example, apply to a practical examination which is to be taken in a number of sessions.)
- permitting, facilitating or obtaining unauthorised access to examination material prior to an examination:
- failing to retain and secure examination material where the examination is to be taken in a later session due to a timetable deviation:
- tampering with candidates' scripts or coursework after submission to school administration and before despatch to BEC or before making the work available to a moderator.

12.5.3 Suspected Centre Staff Malpractice - Deception

- 12.5.3.1 Any act of dishonesty in relation to an examination or assessment:
 - inventing or changing marks for internally assessed components such as coursework where there is no actual evidence of the candidate's achievement to justify the marks being awarded;
 - entering fictitious candidates for examinations or assessments or otherwise subverting the certification process for financial gain.

12.5.4 Suspected Centre Staff Malpractice - Improper Assistance to Candidates

- **12.5.4.1** Giving assistance to one or more candidates which results in potential or actual advantage in an examination or assessment. For example:
 - assisting candidates in the production of coursework beyond that permitted by the regulations;
 - making candidates' coursework available to other candidates;
 - assisting candidates with the production of answers;
 - assisting candidates for whom Special Arrangements have been made (such as the provision of a reader or amanuensis) beyond that permitted by the regulations and other BEC publications.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

12.5.5 Suspected Centre Staff Mal-administration

- **12.5.5.1** Failure to follow the regulations regarding the conduct of examinations and assessments or malpractice in the conduct of examinations and assessments including the handling of examination materials, candidate scripts, mark sheets, etc. For Example:
 - failure to ensure that candidate's coursework is adequately supervised;
 - failure to train invigilators adequately, leading to non-compliance with BEC regulations;
 - failing to issue appropriate notices or warnings to candidates in accordance with BEC regulations;
 - failing to post notices relating to examinations and assessments as required by BEC regulations;
 - not ensuring that the rooms used for examinations meet BEC requirements as specified in the regulations:
 - the introduction of unauthorised material into the examination room, either during or prior to the examination;
 - failing to remind candidates that unauthorised materials must be handed to the invigilator before the start of an examination;
 - making Special Arrangements available to candidates where prior approval has not been obtained from BEC;
 - failure to despatch candidates' scripts or coursework to BEC or failure to make coursework available to moderators when required to do so by BEC;
 - failing to report a case of suspected malpractice in an examination or assessment to BEC as soon possible after any such incident has occurred;
 - failure to conduct an investigation into suspected malpractice when asked to do so by BEC:
 - the retention and/or destruction of certificates contrary to BEC regulations;
 - Loss of certification documents.

12.6 Sanctions and Penalties

- **12.6.1** BEC will impose sanctions and penalties on individuals and centres found guilty of malpractice in order to:
 - minimise the risk to the integrity of BEC qualifications, both in the present and in the future;
 - maintain the confidence of the public in BEC qualifications;
 - · deter others from committing malpractice.
- **12.6.2** BEC will impose sanctions and penalties to individuals found guilty of malpractice. These individuals will be candidates or members of staff who are responsible in some way for the

_	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

examination or assessment. However, when the malpractice is judged to be the result of serious mismanagement of the Centre, BEC may apply sanctions against the Centre.

- 12.6.3 BEC will do everything possible to protect the interest of candidates who become involved in malpractice through no fault of their own. However, it should be recognised that there may be instances where the work submitted for assessment does not represent the attainment of individual candidates, and it may not be possible to issue grades to these candidates. When considering such cases, BEC will consider both the position of the innocent individuals caught up in the malpractice and BEC's responsibility to other candidates.
- 12.6.4 There may be cases where it is known that malpractice has taken place, but where it is not possible to apportion blame with any certainty. In such cases, if it is clear that the integrity of the examination or assessment has been impaired, BEC may decide not to accept the work of candidates and results will not be issued.
- 12.6.5 Sanctions and penalties will be chosen from a defined range in order to reflect the particular circumstances of the case and any mitigating factors. The available penalties are listed in sections 11.7 to 11.9. BEC reserves the right to apply penalties other than those listed if the circumstances warrant it.
- **12.6.6** Sanctions and penalties applied must be reasonable and based only on the evidence available at that time.
- **12.6.7** If the examination is one of a series, sanctions and penalties will only apply to the series in which the offence has been committed and possible future series.
 - (If evidence comes to light some considerable time after the offence, a sanction or penalty may still be applied to the series in which the offence was committed and later series.)
- **12.6.8** Penalties must be consistent in their application, and BEC will take no account of the consequences of which might arise from the candidate's circumstances, such as the effect of the penalty on the candidate's application for university admission.
- **12.6.9** A permanent record will be kept of the effect of any penalties on a candidate's results. All other information relating to specific instances of malpractice will be destroyed after a period of five (5) years.

12.7 Sanctions and Penalties Against Candidates

BEC may, at its discretion, impose the following sanctions and penalties against candidates found guilty of malpractice.

12.7.1 Written Warning

The candidate is issued with a warning to the effect that if the offence is repeated within a stated period, further specified sanctions will be applied.

Page 48 of 69

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

12.7.2 Loss of Marks for Part of a Component

The candidate loses all of the mark for a specified section of the work. A section may be part of a component or one or more of a number of pieces of coursework.

12.7.3 Loss of Marks for a Component

The candidate loses all of the marks gained for a component. The candidate will be regarded as present for the component but will be awarded zero marks.

12.7.4 Disqualification from a Syllabus

The candidate is disqualified from a syllabus taken in that examination series.

12.7.5 Disqualification from All Syllabuses Taken In That Series

The candidate is disqualified from all syllabuses taken in that examination series.

12.7.6 Candidate Debarral

The candidate is debarred from entering one or more examinations for a set period of time. This penalty can be applied in combination with any of the penalties in section 11.7.

- **12.7.7** Inform the police in serious cases involving criminal acts, theft, impersonation or the falsification of documents etc.
- **12.7.8** Unless a penalty is accompanied by a bar on entry in future examination series, candidates penalised by the loss of marks or disqualification may retake the syllabus in a future series. In all cases, the candidates must retake the whole syllabus, although it might be possible to carry forward a coursework mark which was unaffected by the penalty.

12.8 Sanctions against Centre Staff

- 12.8.1 In cases of malpractice involving the staff of a Centre, BEC will first consider whether the integrity of BEC examinations and assessments have been jeopardised by the actions of the individual. If so; BEC will then consider whether that integrity might be further jeopardised if the individual continued to be involved in the conduct or administration of BEC qualifications in the future.
- **12.8.2** It is not the role of BEC to become involved in any aspect of the member of staff's contractual relationship with any employer.
- **12.8.3** In determining the appropriate penalty, BEC will consider the potential risk to the integrity of the examination or assessment and the potential adverse effect on candidates. Mitigating

BOTSWANA EXAMINATIONS COUNCIL	Function Document Type	PSLE Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

circumstances may be taken into account if supported by evidence. Ignorance of the regulations will not, by itself, be considered as a mitigating factor.

12.8.4 Where a member of staff has been found guilty of malpractice, BEC may impose the following sanctions:

12.8.4.1 Written Warning

The member of staff is issued with a warning to the effect that if the offence is repeated within a stated period, further specified sanctions will be applied.

12.8.4.2 Imposition of Special Conditions

Impose conditions on future involvement in BEC examinations and assessments by the member of staff. Such conditions might be applied to the assessment of coursework and the conduct, supervision and administration of examinations and assessments.

12.8.4.3 Suspension

Bar the member of staff from any involvement in the delivery of BEC examinations and assessments for a specified period of time.

- The Head of Centre will be informed of any sanction which is imposed on a member of staff, and the Head of Centre is responsible for ensuring that the sanction is carried out.
- If the member of staff moves to another Centre during the period in which the sanction is
 in force, the Head of Centre should notify BEC in writing. BEC reserves the right to
 inform the Head of the Centre to which the member of staff is moving as to the nature of
 the sanction, and the reason for imposing the sanction.

12.9 Sanctions and Penalties against Centres

12.9.1 BEC may, at its discretion, impose one or more of the following penalties and conditions against centres. BEC will determine the penalties and sanctions to be applied according to the evidence presented and the nature and circumstances of the malpractice.

12.9.1.1 Written Warning

BEC writes a letter to the Head of Centre including details of the malpractice which has taken place. The Head of Centre will be advised of the further action which may be taken should there be a recurrence of the malpractice or further breaches of BEC regulations at the Centre.

12.9.1.2 Additional Inspection

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

BEC might increase the normal level of inspection which takes place in relation to the delivery and administration of BEC qualifications in the Centre. BEC reserves the right to seek reimbursement of the costs of any such additional inspections.

12.9.1.3 Restrictions on the Storage of Examination Materials

For a specified period of time, the Centre will be provided with examination materials such as question papers shortly before each examination is due to start. In such cases the centre will be allowed to administer the examination using the centre invigilators.

In some cases where BEC deems fit, question papers packets will be opened and the papers distributed to candidates in the presence of a BEC officer (or another person appointed by BEC as their representative). At the conclusion of the examination, the Centre may be required to hand over the completed scripts to the BEC officer or representative. These restrictions might be applied to examinations in selected subjects or in all subjects. BEC reserves the right to seek reimbursement of the costs of any such restrictions.

12.9.1.4 Independent Invigilators

For a specified period of time, examinations in the Centre will be invigilated by persons appointed by BEC in order to ensure that the examinations are conducted in accordance with the regulations. BEC reserves the right to seek reimbursement of the costs of any such invigilation.

12.9.1.5 Suspension of candidate entries

BEC may, for a specified period of time or until a specific matter has been resolved, refuse to candidate entries from the Centre. This sanction may be applied to a selected syllabus or to all syllabuses.

12.9.1.6 Withdrawal of Centre Recognition

BEC may withdraw the recognition of the Centre as a BEC Examination Centre. As a result, the Centre will no longer be able to offer that specific BEC qualification. When the Centre is informed of this decision, BEC will specify the earliest date at which the Centre may reapply for registration and any measures which must be taken before any such application can be considered.

12.10 Handling Suspected Malpractice Identified By Examiners or Moderators

Examiners and moderators who suspect malpractice in a BEC examination or assessment are required to report their suspicions to BEC within 24 hours. They are required to provide BEC with a full account of the circumstances which have given rise to their suspicions in writing. At this stage, the Head of Centre is not informed of the suspected malpractice, either by BEC or by the Examiner or Moderator.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

12.11 Handling Suspected Malpractice Identified By A Centre

12.11.1 Where suspected malpractice is identified by a Centre, the Head of Centre must inform BEC telephonically at the point of discovery followed by a written account of the circumstances within 48 hours.

12.12 Handling Suspected Malpractice Identified By Others

- 12.12.1 Allegations of malpractice may sometimes be made by individuals such as centre staff, BEC staff, candidates or members of the public. BEC will ask for the allegation to be made in writing, although the informant will be given the option of remaining anonymous. BEC will evaluate all such cases in the light of all available information before deciding whether an investigation is justified.
- **12.12.2** BEC is aware that reporting malpractice by a member of staff at a Centre or by a candidate might lead to difficulties for the individual. In view of this BEC will normally not disclose the identity of the informant unless legally required to do so.

12.13 Procedures For Dealing With Suspected Malpractice and Mal-Administration

- **12.13.1** BEC will acknowledge in writing the receipt of reports of malpractice and maladministration.
- **12.13.2** The Head of Centre must send any documents required within 10 working days of the date of the request. BEC will review the case on the basis of evidence already presented.
- **12.13.3** Following the review, BEC will decide what action, if any, to be taken. Each case will be considered on an individual basis in light of all the information available at the time.
- **12.13.4** BEC may impose penalties if deemed necessary. BEC reserves the right to impose penalties at its own discretion.
- **12.13.5** Even if the centre or the candidates have breached the regulations inadvertently BEC may decide that the circumstances are such that the award of a grade is not possible.
- **12.13.6** BEC will inform the Head of Centre of decisions in writing as soon as possible after decisions are made. The Head of Centre must communicate the outcome to the individuals concerned.
- **12.13.7** BEC will not consider any enquiries about results for any component(s) for which malpractice has been confirmed.

12.14 BEC's Response to an Allegation of malpractice

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- **12.14.1** When a report of suspected or alleged malpractice is received from an Examiner or Moderator, centre staff, or from a member of the public, BEC will evaluate the report and then decide either to:
 - take no further action; or
 - ask the Head of Centre to carry out an initial investigation into the suspected or alleged malpractice and to submit a written report; or
 - initiate a full investigation to be carried out by members of BEC staff.
- **12.14.2** BEC will share reports of all cases of malpractice and mal-administration with the Permanent Secretary, Ministry of Basic Education.
- **12.14.3** When a report of suspected or alleged malpractice is received from a Head of Centre, BEC will evaluate the report and then decide either to:
 - take no further action; or
 - follow the procedures leading to a decision but without further investigation; or
 - ask the Head of Centre to carry out a further investigation and to provide further evidence; or
 - initiate an investigation to be carried out by members of BEC staff.

12.15 Procedure for Investigation of Suspected Malpractice

- **12.15.1** Initial investigations into alleged malpractice for cases emanating from a centre will be carried out by the Head of Centre. The Head of Centre should establish the full facts and circumstances of the alleged malpractice. In particular, the Head of Centre should not assume that because an allegation has been made, it is necessarily true.
- 12.15.2 Both staff and candidates may be involved in malpractice. For this reason, investigations into suspected malpractice should be carried out personally by the Head of Centre (or their nominated representative if the Head of Centre is implicated) and should not be delegated. Where the Head of Centre is implicated together with the rest of the staff, BEC in consultation with the school owner nominate senior personnel to avoid any conflict of interest. Any such possible conflict of interest may compromise the investigation and should be avoided.
- 12.15.3 The Head of Centre will interview any candidate or member of staff against whom there is an allegation of malpractice. In doing so, the Head of Centre should consider the rights of the accused individual (see paragraph 11.17). Individuals concerned will be required to provide a written statement.
- 12.15.4 In some cases an investigation will be carried out by members of BEC Staff, with the

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

assistance of the Head of Centre and perhaps the Police. BEC may provide the Head of Centre with the evidence relevant to the case, although the evidence may be withheld in instances such as those described in paragraph 11.15.1.

- **12.15.5** If the investigations confirm that candidates had prior knowledge of the content of a question paper, BEC must consider whether information could have been passed to candidates at other centres or to other unauthorised persons.
- 12.15.6 It may be necessary for BEC staff to interview a candidate as part of an investigation. BEC will decide whether such interviews will be carried out in the presence of the Head of Centre and/or parent or not depending on the merits of the case. With the permission of the Head of Centre, the candidate's parents may also be present.
- **12.15.7** If it is necessary for BEC staff to interview a member of staff at the Centre, the staff member may be accompanied by a person of their choice. The person may be a representative of a teacher association.

12.16 Rights of Individuals

- **12.16.1** When the incident of suspected malpractice is investigated, BEC must ensure that the rights of any accused individual are respected. The individual must be:
 - informed of the allegation which has been made against him or her:
 - made aware of what evidence there is to support the allegation;
 - made aware of the consequences should the malpractice be proven;
 - given an opportunity to consider their response and also the opportunity to submit their response in writing;
 - informed of the appeals procedure, should a decision be made against him or her.

12.17 Reporting

- **12.17.1** After the investigation of a case of suspected malpractice, the Head of Centre must submit a full written report to BEC. The report must include:
 - a statement of the facts, a detailed account of the circumstances surrounding the suspected malpractice, and details of any investigation carried out by the Centre;
 - written statements from the candidates and/or staff concerned or a clear indication that they have been given the opportunity to make a statement;
 - where appropriate, seating plans of the candidates in the examinations in question;
 - the procedures followed by the Centre for advising staff and candidates of the BEC regulations concerning the conduct expected in examinations;

Page **54** of **69**

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- any mitigating circumstances.
- Where appropriate, the report should be accompanied by unauthorised material found in the examination room and any other material deemed relevant to the case
- At the conclusion of an investigation of a suspected malpractice case, BEC will inform
 the Head of Centre in writing as soon as possible after decisions are made. BEC is not
 under any obligation to disclose the contents of the investigation report. It is the
 responsibility of the Head of Centre to communicate the decisions to the individuals
 concerned, and to pass on warnings in cases where this is indicated.
- Correspondence about suspected malpractice is confidential between the Centre and BEC. The Head of Centre can share concerns and/or requests for information with the candidate(s) involved and their parents/guardians.

Note: The time taken to complete investigations will depend on the co-operation of the Centre and availability of all information required by BEC to take a decision.

12.18 Communicating Decisions

- **12.18.1** Heads of Centres will be informed of a decision in writing as soon as possible after the decision has been made. The Head of Centre is then required to communicate the decision to the individuals concerned. Where appropriate, the Head of Centre must pass on written warnings to the individuals concerned.
- 12.18.2 Cases of malpractice will remain confidential between the Centre and BEC. However, in cases of serious malpractice, where the circumstances outweigh the duty of confidentiality, the appropriate department of the Ministry of Education and Skills Development will be informed. BEC will provide the department with a report on the nature of the malpractice together with details of the action taken against the Centre or individuals at the Centre.

12.19 Appeals

- **12.19.1** BEC will consider appeals against the decisions made on any case of alleged malpractice.
- **12.19.2** Only the following individuals have the right of appeal:
 - Heads of Centre may appeal against sanctions imposed on the Centre and/or on behalf of a candidate.
 - Members of staff at a Centre may, through their Head of Centre, appeal against sanctions imposed on them;

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- Private candidates may appeal against sanctions imposed on them.
- **12.19.3** Appeals from school candidates and/or centre staff should be routed through the centre. BEC will not accept appeals directly from candidates or parents/guardians.
- **12.19.4** All appeals must be presented with supporting documentation.
- **12.19.5** All communication about appeals will be between
 - BEC and the Centre in the case of centre staff and school candidates.
 - BEC and the individual in the case of private candidates.

12.20 Procedure for Appeal Against Decisions

- **12.20.1** Any appeal should be presented with any additional information or evidence for consideration by the Appeals Committee.
- **12.20.2** The Chief Invigilator/Head of Centre must send any appeal in writing within 28 working days from the date of notification of the decision.
- **12.20.3** Once a Centre has submitted an appeal, all communication relating to the case must be in writing.
- **12.20.4** BEC will not enter into any communication regarding an appeal with candidates or their parents/guardians.
- **12.20.5** BEC will inform the Centre of the outcome of their appeal within 28 working days of the date of the appeal.
- **12.20.6** The outcome of an appeal is regarded as final and BEC will not enter into further correspondence about an appeal with the Centre.

13. Grading And Awarding

13.1 Syllabus Grades

- All syllabuses written by a candidate will be graded to yield a syllabus grade.
- Syllabuses will be graded on a scale of 'A' to 'E'.
- Candidates who fail to obtain the minimum grade 'E' will be awarded 'U'.
- Candidates who fail to meet the requirements for a syllabus or who miss a syllabus will be awarded 'X'.

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

13.2 Overall Grades

- Candidates will be awarded an overall grade if they have satisfied requirements, i.e. if they have sat all the seven syllabuses.
- Grades available at overall level are A, B, C, D and E
- Candidates who fail to obtain the minimum grade will be awarded 'U'.
- Candidates who fail to satisfy requirements for grading will be awarded 'X'.

13.3 Online Results (New)

Private centres that registered through online can access their results from Malepa application. The online results are available in the following formats:

Result Broadsheet

13.4 Candidates Results Service (New)

This service gives candidates access to their results directly via a secure website www.bec.co.bw and "SMS" technology as advertised.

13.5 Provisional Results Despatch (New)

This arrangement is for BEC Centres. BEC sends the provisional results to centres by courier unless instructed otherwise. BEC does not email or fax results to centres or anybody else.

14. Enquiries About Results

The results enquiries period starts immediately on the day of release of results and runs for six weeks after the release. All enquiries about results must be submitted to BEC within this period. The start and end dates are communicated with the results release. (New)

BEC carries out extensive quality checks before results are issued. However, Centres can submit enquiries about results if they would like BEC to check the results for a particular candidate. (New)

14.1 Enquiries on behalf of centre candidates should be made to BEC through the Chief Invigilator **NOT** a guardian nor parent. School candidates who contact the BEC directly will not be dealt with. Enquiries on behalf of private candidates may be made directly to BEC by the candidate.

All enquiries that involve the checking of results must be made within six (6) weeks after the publication of results.

14.2 Requests for re-marking of a script must be made within six weeks of the publication of the results, and must be accompanied by a fee of **P380.00** per subject but this only applies to written components; Agriculture, English and Setswana Composition and Letter. A valid

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

explanation for requesting for the re-marking must be given. BEC reserves the right to refuse a request for re-marking when it considers that the reasons advanced are frivolous or not valid.

- **14.3** For school candidates and candidates at OSET centres, requests for re-marking must be supported in writing by the Chief Invigilator. The Chief Invigilator must be convinced that the candidate could have done better and provide some proof to that effect.
- **14.4** Outcomes from Enquiries about Results will be communicated to the Head of Centre. (New)

NOTE: All work specified for the examinations is the property of the Botswana Examinations Council.

15. Certification

15.1 Issuing of Certificates

- **15.1.1** A certificate is and remains the property of the Botswana Examinations Council (BEC) at all times and is issued subject to the following conditions:
 - (i) this certificate must be returned to us on request;
 - (ii) this certificate should be kept in a safe place;
 - (iii) we will not issue a copy of this certificate;
 - (iv) any alterations to this certificate invalidates the certificate and BEC does not certify any such alterations;
 - (v) use of an altered certificate is a criminal offence and BEC may also take civil action for damages caused;
 - (vi) ownership of all trademarks shown on the certificate are, and remains, the property of BEC; copyright in the contents and layout of this certificate is and remains the property of BEC.
- **15.1.2** All results issued by us are regarded as provisional until the results are confirmed by the issue of a certificate. Certificates will be sent to the Head of Centre, and it is the responsibility of the Head of Centre to forward certificates to all candidates entered by the Centre. Distribution should take place as soon as possible after receipt.

IMPORTANT NOTE: In a case where certificates are not handed to the candidate personally, the Head of Centre is responsible for obtaining acknowledgement of receipt from the recipient.

15.1.3 Certificates are printed and distributed to Centres at the conclusion of results enquiries, which is six (6) weeks after the release of the results. We are therefore, unable to despatch certificates before the deadline for submission of enquiries has elapsed, as candidate's marks and subject grades may be adjusted by the outcome of an enquiry about results. Certificates will be despatched as soon as this deadline has passed but the certificate for any

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

candidate for whom an enquiry is still in progress will be withheld until the outcome of the enquiry about results is known. Once the outcome of the enquiry is known the certificate will be printed and sent to the Centre.

- 15.1.4 Centres must store certificates in a safe place until they are distributed to candidates. Unclaimed certificates must be retained by the Centre for a period of 24 months from the date of issue. When that period has elapsed, the Centre should return any unclaimed certificates to us. When certificates are returned, the Centre should enclose a list of the returned certificates. A copy of the list should be kept in the Centre. Once certificates are returned to us, candidates will be expected to claim them from BEC.
- **15.1.5** Where a Centre loses certificates before distributing to candidates, we should be notified in writing. We will use our discretion on how the matter will be treated basing on the merits of each case. Note that Centres may be required to pay for the replacement of the certificates.

IMPORTANT NOTE: Under no circumstances must any certificate be destroyed.

15.2 Incorrect Details of Certificates

- 15.2.1 Centre and candidate details such as the spelling of the candidate's name must be checked when Registration Lists and Statements of Results are received. Any inaccuracies must be reported to us as soon as possible after the error has been identified and certainly before certificates are printed. We may replace such a certificate, but only at our discretion. If a replacement is to be provided, the following conditions will apply:
 - (i) A letter of request from the centre
 - (ii) The original Certificate must be returned to us before any replacement will be provided.
 - (iii) A fee will be charged for issuing the corrected certificate. The fee to be charged will be determined by us from time to time.

IMPORTANT NOTE: Certificates can only be replaced within a period of 18 months from the date of issue of the original certificate. Thereafter, a Certifying Statement will be issued as stated below.

15.3 Name Changes

Certificates are issued in the name of the candidate at the time the award is made. Replacement certificates will only be provided to accommodate a subsequent change of name when imposed by a court of law.

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

15.4 Combining Grades from Different Examination Series

Certificates are issued for each separate examination series, and we cannot combine grades obtained in different examination series on to one certificate

IMPORTANT NOTE: We cannot delete selected syllabuses or grades from a certificate.

15.5 Lost Certificates

A Certifying Statement is an official document issued by Botswana Examinations Council (BEC) to a candidate whose certificate is lost or damaged. It shows all the grades awarded to the candidate in a given examination series.

15.5.1 A candidate who has lost a certificate may apply to us for a Certifying Statement of Results. All grades are shown on the certifying statement, including Ungraded. A Certifying Statement will be issued as stated below.

15.6 Damaged Certificates

In cases where Certificates have been damaged, BEC at its discretion reserves the right to issue replacement certificates to candidates upon satisfactory understanding of the circumstances leading to the damage, and proof of identity. The following conditions will apply:

- **15.6.1** We will replace the damaged certificates within a period of 18 months from the date of issue of the original certificates. Thereafter, a certifying statement will be issued as stated below.
- **15.6.2** The damaged certificate must be returned to us before any replacement will be provided.
- **15.6.3** A fee will be charged for issuing a replacement certificate. The fee to be charged will be determined by us from time to time.

15.7 Certifying Statements

- **15.7.1** Conditions for application of a Certifying Statements:
 - (i) A letter of confirmation for collection of original certificate is required from the centre.
 - (ii) An Affidavit as proof of loss/damage of certificate.
 - (iii) A fee determined by us from time to time will be charged for issuing certifying statements.
 - (iv) Proof of Identity in the form of a National Identity Card for citizens and passport for noncitizens.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- (v) The names on the certificate are expected to be identical to the names on the Identity document otherwise proof of discrepancy to be provided. An Affidavit is required in the case of names while an official document from a Botswana Government institution is required for surname.
- (vi) When a person other than the owner of the certificate makes the request, the applicant is expected to produce an authorisation letter from the owner of the certificate to collect the certificate on their behalf.

15.8 Verification of Results

A third party, such as a prospective employer, an educational institution, and or the Security Forces can make a request for a verification of results of a particular candidate at any time. The following conditions will apply:

- (i) A request letter or completed **BEC/EAC/DP/P11/F01** *Verification Request Form* for verification of a certificate from an institution or company.
- (ii) A copy of the certificate to be verified.

15.9 Migration Certificate

A Migration Certificate is a document issued to an individual who has sat our examinations, and wishes to pursue their education outside the country. It clarifies the qualification, the grading criteria and performance of the candidate. A candidate who wishes to pursue their education outside Botswana can make a request to us for a Migration Certificate. The following condition will apply:

(i) A request for a migration certificate from client in the form of a written letter, or a completed BEC/EAC/DP/P12/F01 - Migration Request Form.

16. Appeals Process (New)

These Guidelines are intended to describe and explain the processes for appealing against certain decisions made by BEC. It is intended for use by Heads of Centres who wish to appeal against our decision.

The guidelines below specify the decisions against which appeals can be made and provide guidance on the procedures to be followed by the Head of Centre and by BEC.

(i) The Head of Centre who wishes to appeal against the outcome of a decision listed in paragraph 14.3 should submit any such appeal, in writing, to arrive at BEC within 14 days of the notification of the outcome of the enquiry or notification of the decision;

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- (ii) The appeal will be considered by a senior officer from BEC and in some cases by the BEC Appeals Committee, and BEC will inform the Head of Centre of the outcome within 14 days of receiving the appeal;
- (iii) The outcome of the appeal is regarded as final, and BEC will not enter into further correspondence about an appeal once the outcome of the appeal has been notified to the Head of Centre.

16.1 Appeals May be Made Against (New)

- (i) The outcomes of Results Enquiries.
- (ii) Decisions made in relation to Access Arrangements and Special Consideration.
- (iii) Decisions made in cases of malpractice.
- (iv) Other decisions made by BEC including late arrival of a candidate.

16.2 Who can Appeal

Only the following can appeal against BEC decision:

- (i) A Head of Centre on behalf of individual candidates or groups of candidates in the centre.
- (ii) Members of staff at a centre or, personnel contracted to a centre (e.g. invigilators) against who sanctions have been applied personally.
- (iii) Third parties who have been barred from BEC qualifications.
- (iv) Appeals may not be made by School Candidates or their parents or guardians.

16.3 Appeals against the outcome of Enquiries about Results (New)

This section applies to cases in which the Centres are dissatisfied with the outcome of the initial enquiries about results.

16.3.1 Making a Stage 1 Appeal against the Outcome of an Enquiry about Results (New)

All appeals must be made by the private candidate or Centre the school candidate was registered with. BEC does not accept appeals directly from parents/Guardians or school candidates. Stage 1 appeal must be made in writing and signed by the Head of Centre. Centres may only submit a stage 1 appeal after receipt of the initial enquiry about results.

(i) Once a decision has been received, the Head of Centre or Private candidate may appeal against sanctions imposed on the centre and on candidates entered through the centre.

Page **62** of **69**

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

Any such appeal must be received at BEC within fourteen days of the receipt of the decision. The Appeal must be made in writing and signed by the Head of Centre and addressed to the Chief Executive Officer. BEC does not accept appeals directly from parents, guardians or Centre candidates. BEC will not accept late appeals submissions. When making an appeal, Centres must explain their reasons for appealing against the decision and in particular provide any extra information or evidence that they want to be considered. Centres should note that the appeals process will investigate the procedures which have been followed by BEC and is not concerned with making judgments about candidates' work. The procedures include all processes leading to the final award of marks and grades, and further consideration of candidates' work would only take place if it is found that procedures had not been properly followed by BEC.

- (ii) BEC reserves the right to reject an appeal if the criteria in paragraph 14.3.1(i) are not met or where BEC deem the grounds for the appeal to be inappropriate.
- (iii) Appeals can only be made against decisions where a sanction has been imposed.
- (iv) Centres must show they have grounds for appeal. The grounds that BEC will consider are that either:
 - BEC did not use procedures which were consistent with the commitments made in the guidelines, or
 - BEC did not apply internal procedures properly and fairly in arriving at the judgements.
- (v) BEC will not consider appeals based on any other grounds. For example, the following are not sufficient on their own:
 - Disagreement with marking or moderation judgment made by markers and examiners, either originally or as part of an enquiry about results.
 - Disagreements with BEC judgements on thresholds at either a component or syllabus level.
 - Differences between candidates' results and their results in other assessments.
- (vi) On receipt of an appeal, BEC will send a letter of acknowledgement within seven working days of receiving it. The case will first be reviewed by a senior officer at BEC who has had no previous involvement with the case. The review will take into account the submission of the Head of Centre (or Private candidate) and will include a review of all relevant procedures and evidence that were sent and then BEC will consider whether it:
 - used the procedures which were consistent with the commitments made in the guidelines, and

Page **63** of **69**

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- applied internal procedures properly and fairly in arriving at the judgement
- (vii) BEC will notify Centres in writing within fourteen days of receiving the appeal whether it was fully successful, partly successful or un-successful.
- (viii) Centres must inform school candidates and parents/guardians of the outcome of the appeal as soon as it is received.

16.3.2 Making a Stage 2 Appeal against The Outcome Of Enquiries About Results (New)

- (i) The stage 2 appeals process is designed to provide the Head of Centre or Private candidate the opportunity of presenting their case to an impartial body appointed from the senior committees of BEC identified as the Appeals Committee for the purposes of these guidelines.
- (ii) If the appeal is not fully successful at stage 1, Centres may choose to proceed to stage 2. Stage 2 appeals must be made in writing and signed by the head of centre/Private candidate and addressed to the Chief Executive Officer. BEC does not accept appeals directly from parents, guardians and school candidates. When making a stage 2 appeal, Centres must fully and accurately explain their grounds for submitting a further appeal and arguments against the decision. Centres must also provide any extra information or evidence that they would like the panel to consider. Centres must make their stage 2 appeals within fourteen days of receipt of stage 1 outcome letter. BEC will not accept late appeals submissions.
- (iii) As at stage 1 appeal, every effort will be made to establish whether or not BEC followed proper procedures in coming to the decisions. The Appeals Committee is not authorised to re-assess whether the grades issued are appropriate but instruct BEC to carry out further investigations. The hearing of the appeal will take the form of an examination of the evidence, comments and reports provided to BEC and the observations made by the Head of Centre.
- (iv) At the conclusion of the hearing, the Appeals Committee will decide whether to uphold the original decision made by BEC. The Committee may also make recommendations on any issues or concerns which arose during the hearing. In coming to its decision, the Appeals Committee will consider whether:
 - it is satisfied that the procedures followed by BEC were appropriate,
 - the procedures were properly and fairly applied, and
 - the original enquiry, application or report from the Centre (or from some other source) was dealt with appropriately.
- (v) The decision of the Appeals Committee will be communicated to the Head of Centre or Private candidate in writing within Five (5) working days of the conclusion of the hearing.

	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

(vi) The communication of the decision to the Head of Centre or Private Candidate concludes the appeals process. The outcome of the appeal is regarded as final and BEC will not enter into further correspondence about an appeal.

16.3.3 Appeals against access arrangements, special consideration and late arrival decisions (New)

If Centres are dissatisfied with the outcome of an access arrangement application, a request for special consideration or a late arrival decision, the Head of Centre may request an appeal.

16.3.4 Making a stage 1 Appeal against an access arrangement, special consideration or late arrival decision (New)

- (i) Once a decision has been received, the Head of Centre may appeal against sanctions imposed on the centre and on candidates entered through the centre. Any such appeal must be received at BEC within fourteen days of the receipt of the decision. The appeal must be made in writing by the Head of Centre/Private Candidate and addressed to the Chief Executive Officer. BEC does not accept appeals directly from parents, guardians or Centre candidates. BEC will not accept late appeals submissions. When making an appeal, centres must explain their reasons for appealing against the decision and in particular provide any extra information or evidence that they want to be considered. Centres should note that the appeals process will investigate the procedures which have been followed by BEC.
- (ii) BEC reserves the right to reject an appeal if the criteria in paragraph 14.4.1 (i) are not met or where BEC deems the grounds for the appeal to be inappropriate.
- (iii) Appeals can only be made against decisions where a sanction has been imposed.
- (iv) Centres must show they have grounds for appeal. The grounds that BEC will consider are that either:
 - BEC did not use procedures which were consistent with the commitments made in Guidelines for conduct of examinations, or
 - BEC did not apply the internal procedures properly and fairly in arriving at the judgements.
- (v) BEC will notify Centres in writing within fourteen days of receiving the appeal whether it was fully successful, partly successful or un-successful.
- (vi) BEC must inform school candidates and parents/guardians of the outcome of the appeal as soon as it is received.
- (vii) BEC will not consider appeals based on any other grounds.

BOTSWANA EXAMINATIONS COUNCIL	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

16.3.5 Making a Stage 2 Appeal Against Access Arrangement, Special Consideration or Late Arrival Decision (New)

- (i) The Stage 2 Appeals process is designed to provide the Head of Centre the opportunity of presenting their case to an impartial body appointed from the senior committees of BEC identified as the Appeals Committee for the purposes of these guidelines.
- (ii) If the appeal is not fully successful at stage 1, Centres may choose to proceed to stage 2. Stage 2 appeals must be made in writing and signed by the Head of Centre and addressed to the Chief Executive Officer. BEC does not accept appeal directly from parents, guardians and school candidates. When making stage 2 appeals, Centres must fully and accurately explain their grounds for submitting a further appeal and arguments against the decision. Centres must also provide any extra information or evidence that they would like the panel to consider. Centres must make the stage 2 appeals within fourteen days of receipt of stage 1 outcome letter. BEC will not accept late appeals submissions.
- (iii) As at Stage 1 appeals, every effort will be made to establish whether or not BEC followed proper procedures in coming to the decisions. The Appeals Committee is not authorised to re-assess whether access arrangements, special consideration or late arrival ground have been dealt with appropriately but instruct BEC to carry out further investigations. The hearing of the appeal will take the form of an examination of the evidence, comments and reports provided to BEC and the observations made by the Head of Centre.
- (iv) At the conclusion of the hearing, the Appeals Committee will decide whether to uphold the original decision made by BEC. The Committee may also make recommendations on any issues or concerns which arose during the hearing. In coming to its decision, the Appeals Committee will consider whether:
 - it is satisfied that the procedures followed by BEC were appropriate,
 - the procedures were properly and fairly applied, and
 - the original enquiry, application or report from the Centre (or from some other source) was dealt with appropriately.
- (v) The decision of the Appeals Committee will be communicated to the Head of Centre in writing within Five (5) working days of the conclusion of the hearing.
- (vi) The communication of the decision to the Head of Centre concludes the appeals process. The outcome of the appeal is regarded as final, and BEC will not enter into further correspondence about an appeal.

16.4 Appeals against Malpractice Decisions (New)

Decisions relating to cases of malpractice are based on the principles and procedures defined in section 16 of these guidelines.

Page **66** of **69**

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

16.4.1 Making a stage 1 Appeal Against Malpractice Decision (New)

- (i) Once a decision has been received, the Head of Centre may appeal against sanctions imposed on the centre and on candidates entered through the centre. Any such appeal must be received at BEC within fourteen days of the receipt of the decision. The Appeal must be made in writing by the Head of Centre/Private candidate and addressed to the Chief Executive Officer. BEC does not accept appeals directly from parents, guardians or Centre candidates. BEC will not accept late appeals submissions. When making an appeal, Centres must explain their reasons for appealing against the decision and in particular provide any extra information or evidence that they want to be considered. Centres and private Candidates should note that the appeals process will investigate the procedures which have been followed by BEC.
- (ii) BEC reserves the right to reject an appeal if the criteria in paragraph 14.5.1 (i) are not met or where BEC deems the grounds for the appeal to be inappropriate.
- (iii) Appeals can only be made against decisions where a sanction has been imposed.
- (iv) Centres must show they have grounds for appeal. The grounds that BEC will consider are that either:
 - BEC did not use procedures which were consistent with the commitments made in the guidelines, or
 - BEC did not apply the internal procedures properly and fairly in arriving at the judgements.
- (v) BEC will not consider appeals based on any other grounds. For example, the following are not sufficient on their own:
 - The individual did not mean to commit Malpractice.
 - The individual has a good academic record.
 - The individual could lose a university place.
 - · The individual regrets their action.
- (vi) On receipt of an appeal, BEC will send a letter of acknowledgement within five working days of receiving it. The case will first be reviewed by a senior officer at BEC who has had no previous involvement with the case. The review will take into account the submission of the Head of Centre and BEC will include a review of all relevant procedures and evidence that Centres sent and then BEC will consider whether it:
 - Used the procedures which were consistent with the commitments made in the Guidelines
 - Applied the internal procedures properly and fairly in arriving at the judgement

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

- (vii) BEC will notify Centres in writing within fourteen working days of receiving the appeal whether it was fully successful, partly successful or unsuccessful
- (viii) Centres must inform school candidates and parents/guardians of the outcome of the appeal as soon as it is received.

16.4.2 Making a Stage 2 Appeal against a Malpractice Decision (New)

- (i) The Stage 2 Appeals process is designed to provide the Head of Centre the opportunity of presenting their case to an impartial body appointed from the senior committees of BEC identified as the Appeals Committee for the purposes of these guidelines.
- (ii) If the appeal is not fully successful at stage 1, Centres may choose to proceed to stage 2. Stage 2 appeals must be made in writing and signed by the head of centre/Private candidate and addressed to the Chief Executive Officer. BEC does not accept appeals directly from parents, guardians and school candidates. When making stage 2 appeals, Centres must fully and accurately explain their grounds for submitting a further appeal and arguments against the decision. Centres must also provide any extra information or evidence that they would like the panel to consider. Centres must make the stage 2 appeals within fourteen days of receipt of stage 1 outcome letter. BEC will not accept late appeals submissions.
- (iii) As at Stage 1 appeal, every effort will be made to establish whether or not BEC followed proper procedures in coming to the decisions. The Appeals Committee is not authorised to re-assess whether a malpractice has occurred but instruct BEC to carry out further investigations. The hearing of the appeal will take the form of an examination of the evidence, comments and reports provided to BEC and the observations made by the Head of Centre (or Private candidate).
- (iv) At the conclusion of the hearing, the Appeals Committee will decide whether to uphold the original decision made by BEC. The Committee may also make recommendations on any issues or concerns which arose during the hearing. In coming to its decision, the Appeals Committee will consider whether:
 - · it is satisfied that the procedures followed by BEC were appropriate,
 - · the procedures were properly and fairly applied, and
 - the original enquiry, application or report from the Centre (or from some other source) was dealt with appropriately.
- (v) The decision of the Appeals Committee will be communicated to the Head of Centre or Private Candidate in writing within five working days of the conclusion of the hearing.
- (vi) The communication of the decision to the Head of Centre or Private candidate concludes the appeals process. The outcome of the appeal is regarded as final, and BEC will not enter into further correspondence about an appeal.

<u></u>	Directorate	Examinations Administration and Certification
	Function	PSLE
	Document Type	Guidelines
BOTSWANA EXAMINATIONS COUNCIL	Document No	BEC/EAC/PSLE/G01.v4
	Effective Date	2020/09/11
Title	Conduct of Examinations	

16.5 Fees and Costs

BEC charges a fee for each stage 1 and 2 appeals against the outcome of enquiries about results. The charge is per appeal, not per candidate. If the appeal is upheld (successful), appeals fees will be refunded.

BOTSWANA EXAMINATIONS COLINCIL

NOTICE TO CANDIDATES

APPENDIX A

This notice has been written to help you. Read it carefully and do what you are asked to do to ensure that you adhere to rules governing the conduct of examinations. If there is anything you do not understand, ask your teacher. For Private Candidates contact PSLE Office at 3650767 / 916 / 823 / 713 for clarity.

You must:

- Know the dates and times of your examinations as indicated in your timetable.
- Arrive at least 30 minutes before the start of each examination. If you are late, your work may not be accepted.
- Follow the instructions of the invigilator
- If on the day of the examination, you feel that your work may be affected by ill-health or any other reason, inform the Invigilator.
- Leave all used and unused exam materials in the exam room.

You must not:

- take any unauthorised materials into the examination room.
- talk to, attempt to communicate with, or disturb other candidates once you have entered the exam room
- be involved in any unfair or dishonest practice before, during, or after the exam. If you are in any doubt speak to an invigilator.

WARNING ON MALPRACTICE

If you cheat, try to use any unfair practice to gain advantage in any examination or break the rules in any way, before, during, or after the exam. You may be disqualified from one or all of your examinations.

If you suspect that other candidates or your teachers are involved in Malpractice you should report to your teachers or directly to BEC at the following numbers; **3650823 / 713.**

BOTSWANA EXAMINATIONS COLINCIL

EXAMINATION INSTRUCTIONS

APPENDIX B

- **B.1** Listen to the Invigilator and do what you are asked to do.
- **B.2** Tell the Invigilator at once,
 - if you think you have not been given the right question paper or all the materials listed on the front of the paper,
 - if the question paper is incomplete or badly printed.
- **B.3** Read carefully the instructions printed on the question paper and/or on the answer booklet/answer paper. Do what you are asked to do.
- **B.4** Fill in the details required on the front of your question paper and/or answer booklet/answer paper before the start of the examination.
- **B.5** Do your rough work on the proper examination stationery. Cross it through and leave it on your desk when you leave.

B.6 Advice and Assistance

- **B.6.1** If on the day of the examination, you feel that your work may be affected by ill health or any other reason, tell the Invigilator.
- **B.6.2** If during the examination you have a problem and are in doubt about what you should do, or you feel ill or you need more answer paper, raise your hand to attract attention. The Invigilator will come to you and answer your question.
- **B.6.3** You may not ask for, and will not be given, any explanation of the questions.

B.7 Leaving the Examination Room

- **B.7.1** You may leave the examination room before the end of the examination only if the Invigilator allows you to do so. For examinations longer than one hour, you will not be allowed to leave the examination room until at least one hour after the published starting time. If you are allowed to leave before the end of the examination, you will not be allowed to return.
- **B.7.2** If you have used more than one answer booklet and/or loose sheets of answer paper, you must place them in the correct order. Fasten them together before you leave.
- **B.7.3** You must not leave the examination room until the Invigilator tells you to do so.
- **B.7.4** When you leave the examination room you must leave behind the question paper, your answer booklet/answer paper, rough work, and any other (used or unused) materials provided for the examination.

WARNING

If you cheat, try to use any unfair practice or break the rules in any way, you may be disqualified from all of your syllabuses.

BOTSWANA EXAMINATIONS COLINCII.

CHECKLIST FOR INVIGILATORS

APPENDIX C

This checklist summarises the essential actions for the invigilation of examinations. Invigilators must be familiar with the Instructions for the Conduct of Examinations and should refer to this booklet for detailed instructions and guidance.

C.1 Arrangement of the Examination Rooms

- C.1.1 Check that any charts, diagrams, e.t.c. have been cleared off from the walls.
- C.1.2 Check that you have the following
 - (a) a clock clearly visible to all candidates,
 - (b) a board showing
 - (i) centre number,
 - (ii) starting time and finishing time of the examination,
 - (iii) syllabus name,
 - (iv) component code,
 - (v) date.

C.1.3 Check that you have

- (a) a copy of the current Guidelines for the Conduct of PSLE Examinations,
- (b) any subject-specific instructions issued by BEC,
- (c) a seating plan of the examination room.

C.2 Before the Examination

- **C.2.1** Refer to the front of the question paper and the Summary of Additional Materials List for precise requirements in respect of authorised materials, particularly calculators.
- C.2.2 Inform candidates that they are now subject to the regulations of the examination and draw their attention to Notice to Candidates: Appendix A
- **C.2.3** Warn candidates that any unauthorised materials must be handed over to you.
- **C.2.4** Open the question paper packet in the examination room in the presence of the candidates.
 - (a) Draw the candidates' attention to the instructions on the front of the question paper.
 - (b) Instruct candidates to complete the details on the front of the answer booklet/answer sheets and allow them to read them instructions.
- **C.2.5** Ensure that details of any erratum notices are brought to the notice of the candidates.
- **C.2.6** Inform the candidates when they may begin and how much time they have.

C.3 Identification of Candidates

C.3.1 Satisfy yourself as to the identity of every candidate in the examination room.

CHECKLIST FOR INVIGILATORS

C.3.2 Check documentary evidence presented by private candidates that they are the same persons who made entry for the examination.

C.4 During the Examination

- **C.4.1** Complete the Attendance Register. Indicate absent candidates.
- **C.4.2** Refer to paragraph 10.10.4 of the Guidelines if a candidate arrives late.
- **C.4.3** Be vigilant. Supervise the candidates at all times to prevent cheating and distractions.
- **C.4.4** Do not give any information to the candidates about
 - (a) suspected errors in the question paper, unless an erratum notice has been issued,
 - (b) any question on the paper or the requirements for answering particular questions.
- **C.4.5** Ensure that no candidate leaves the examination room until at least one hour after the published starting time (or the duration of the examination, if less than one hour).
- **C.4.6** Ensure that no question paper is removed from the examination room. All question papers should be returned to a secure store at the end of the examination.
- **C.4.7** Ensure that a rover is available to accompany any candidates who need to leave the room temporarily.
- **C.4.8** Refer to paragraph 10.10.8 in the event of an emergency.
- **C.4.9** Inform candidates when they have twenty minutes (20) and five (05) minutes remaining.
- **C.4.10** Instruct candidates to stop writing at the end of the examination.

C.5 After the Examination

- **C.5.1** Check and sign the attendance register. Ensure that all of the absentees have been properly recorded.
- **C.5.2** Instruct candidates to check that;
 - (a) all the required information has been entered on their scripts, including supplementary sheets,
 - (b) they have crossed out rough work or unwanted answers,
 - (c) they have fastened any supplementary sheets in accordance with the instructions on the question paper or answer booklet.
- **C.5.3** Collect all scripts or answer sheets and all unused stationery before the candidates leave the examination room.
- **C.5.4** Check that scripts or answer sheets are arranged in Attendance Register order.
- **C.5.5** Ensure that the scripts or answer sheets are packaged and sealed in the presence of the candidates.

CHECKLIST FOR INVIGILATORS

C.5.6 Ensure that scripts or answer sheets are kept in secure place prior despatch to BEC.

The invigilator should read all instructions to candidates. They should speak loudly, clearly and slowly enough so that every candidate can hear and understand every word which is said.

BEC will provide personalised answer sheets for each candidate and subject. For special cases such as late transfer-ins, candidates will use un-personalised answer sheets and should be instructed to complete the answer sheet as follows:

COMPLETING THE ANSWER SHEETS

APPENDIX D

D.1 NAME

The candidate writes the surname first then the first name. This should be the same names as on the registration list (Candidates are not allowed to use names that are different from the names on the registration list).

D.2 CENTRE NAME

Candidates should clearly write down their centre name.

D.3 DATE AND TIME

For personalised and un-personalised answer sheets, candidates will be required to write the date of the examination as well as the start and end times of the examination being written on that day.

D.4 EXAMINATION NUMBER

The candidate writes the centre number in the 4 boxes under centre number, PS is already preshaded, and then the candidate number in the 4 boxes under candidate number.

D.5 SHADING THE OVALS

In the ovals below the examination number, the candidate shades each oval which has the number that corresponds to the one in each box, ensuring that the marks are dark enough to cover the digit within oval.

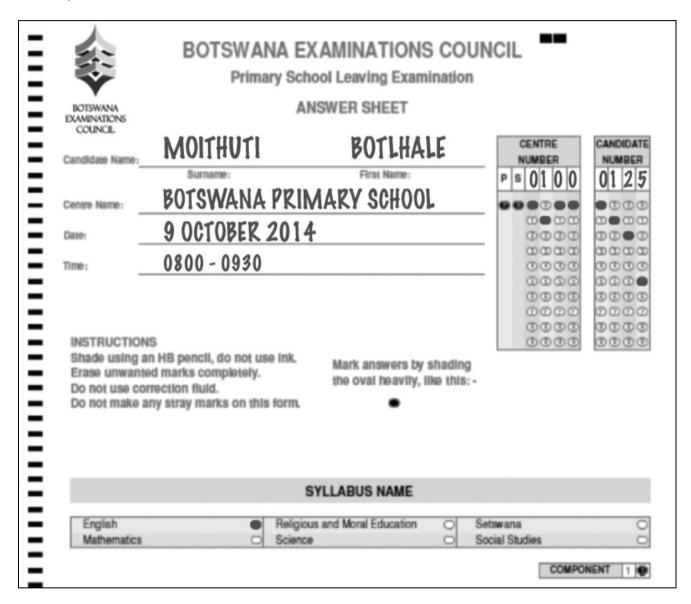
The candidate must then shade the oval for the respective syllabus. In all the above steps, the invigilator must instruct candidates to perform each step separately and they should move from candidate to candidate checking if the instructions given are being carried out.

Invigilators should give instructions to candidates the most encouraging and friendly manner. The importance of the invigilator's tone cannot be over-emphasised; it can either help the candidates to do their best or cause them to do less than they are capable of. The candidates should see the invigilator as a friendly person who wants them to do well.



COMPLETING THE ANSWER SHEETS

The Answer Sheet shown below is for the English examination. The Answer Sheet has been completed by **Botlhale Moithuti**. Botlhale is a student at Botswana Primary School and their candidate number is **PS0100/0125** (PS 0100 is the Centre Number and 0125 is the candidate number). Candidates should be shown how to shade the ovals.





DIRECTIONS TO CANDIDATES

APPENDIX E

- **E.1** The directions are as printed in this booklet, a copy of which should be available to candidates in the examination room. They must be brought to the attention of candidates before the first day of the examinations. It is suggested that all candidates be assembled shortly before the first day of the examination and the directions read aloud and explained to them.
- **E.2** Be at your seat in the examination room at least fifteen minutes before the time fixed for examination in the subject you are taking.
- **E.3** Check that the number of printed pages in the question paper corresponds with the number given on the cover so as to ensure that you have a complete question paper. If in doubt, inform the Invigilator.
- **E.4** Attend carefully to any general directions that are given at the front cover of the question paper (e.g. directions about the numbers of questions that may be answered).
- **E.5** The answer sheet must be completed using a soft pencil (HB). A clean, soft eraser should be used for changing answers. Do not make any stray marks on the answer sheet.
- **E.6** A candidate who arrives late must give a satisfactory explanation to the Invigilator. Except in exceptional circumstances, a paper will not be given to a candidate who is more than half an hour late.
- **E.7** If you require anything during the examination, raise your hand.



LIST OF QUESTION PAPER CODES

APPENDIX F

01	SETSWANA	
	Paper 1 - Multiple-choice Paper 2 – Composition and Letter Writing	01/1 01/2
02	ENGLISH	
	Paper 1 - Multiple-choice Paper 2 – Composition and Letter Writing	02/1 02/2
03	MATHEMATICS	
	Paper 1 - Multiple-choice	03/1
04	SCIENCE	
	Paper 1 - Multiple-choice	04/1
05	SOCIAL STUDIES	
	Paper 1 - Multiple-choice	05/1
06	AGRICULTURE	
	Paper 1 – Written Paper	06/1
07	RELIGIOUS AND MORAL EDUCATION	
	Paper 1 - Multiple-choice	07

CERTIFICATE OF INVIGILATION

Date: _____

APPENDIX G

Series:		Qualification:			
Centre Name:					
Syllabus Name:		Syllabus Code:			
The Invigilator responsible for each exam Invigilator is required to check that the fo Officer, Botswana Examinations Council im	rm is complete, coun	itersign and retu	rn it to the Chief Executiv		
QUESTION PAPERS					
We certify that we received question papers	s from the Chief Invigil	ator INTACT.			
Name of Invigilator	Signature	Time	Date		
Chief Invigilator:					

BEC/EAC/PSLE/G01/A07.v1 2020.09.11

Signature:

CERTIFICATE OF INVIGILATION

SCRIPTS

WE CERTIFY that the examinations for which we are responsible have been conducted at the agreed time and in accordance with the regulations of the Botswana Examinations Council.

Name of Invigilator	Signature	Time	Date		
Chief Invigilator:					
Signature:	Date:				

BEC/EAC/PSLE/G01/A07.v1 2020.09.11



ATTENDANCE REGISTER/TRAINING INVIGILATORS

APPENDIX H

Year	Level	
Centre Number	Centre Name	

MEETING DETAILS					
Meeting Date			Meeting Time		
Meeting Owner			Meeting Venue		
Name		Designati	on	Signature	

The form must be sent back to BEC before commencement of examinations at your centre



A BRIEF GUIDE TO THE CONDUCT OF EXAMINATION DURING THE COVID-19 PANDEMIC PHASE FOR THE 2020 EXAMINATION SERIES FOR PSLE & JCE

APPENDIX I

The purpose of this document is to provide guidelines for centres on how they should conduct their examinations during the Covid-19 pandemic phase. The guidelines are applicable for the year 2020 only.

These guidelines are intended for use by the Heads of Centres, Chief Invigilators, Invigilators as well as candidates. They should be used in conjunction with the BEC Guidelines on conduct of Examinations, Ministry of Basic Education safety guidelines on re-opening of Schools after Lockdown and the Covid-19 National Task Team Protocols. The prescribed protocols require keeping of registers, wearing of facial masks, daily temperature checks, social distancing of 1-2m and regular sanitising at all times.

Any changes that will be effected at National level in response to the Covid-19 pandemic at the time of writing the examinations will take precedence over the existing protocols.

Centres should;

- 1. Regularly clean and disinfect all frequently touched surfaces in the examination environment such as door handles, desk surfaces, examination storages and cabinets on daily basis before and after each examination.
- Ensure mandatory wearing of face masks for all Invigilators and candidates and that there is no writing on the face masks. Candidates should be asked to show their full faces to verify their identity.
- 3. Provide candidates with adequate time to wash hands or sanitise their hands before sitting for an examination. This should be at least 30 minutes before the start of an examination.
- 4. Ensure social distancing of 1.25m or more between candidates as per the exam regulations. Invigilators should minimise contact with candidates during the distribution of question papers, collection of scripts and marking of attendance registers.
- 5. Conduct daily temperature screening for Invigilators and candidates upon entering school premises. Candidates and Invigilators with temperatures of 37.4 °C or above must be allowed to wait for 5 minutes before screening again. If the temperature is still high for the candidate and or invigilator, the SHE officer at the centre must be informed who will liaise with the Ministry of Health and Wellness for further guidance and action. The affected candidate must be kept in isolation and allowed to write while waiting for assistance.
- 6. Provide separate accommodation for writing the exam, in cases of candidates with suspected flu symptoms or those similar to the Covid-19 published symptoms. The candidates should not be allowed to come into contact with the rest of the candidates and precautionary measures should be taken to ensure that the centre is not at risk.
- 7. On receipt of examination material from the Courier Company, all personnel involved must sanitise themselves including the consignment before checking and storing securely.



A BRIEF GUIDE TO THE CONDUCT OF EXAMINATION DURING THE COVID-19 PANDEMIC PHASE FOR THE 2020 EXAMINATION SERIES FOR PSLE & JCE

- 8. Candidates should not share examination stationery or equipment but if they are to share, all materials should be sanitized before and after each use. This also applies to shared utensils used during Coursework and Practical examinations.
- 9. All equipment for use by candidates with special needs including Perkins braillers for candidates with visual impairment, assistive technology devices and recorders for other disability types such as learning disabilities should also be sanitised before and after use.
- 10. Provide face shields to be worn by candidates with special needs where possible/appropriate for oral response and also by scribes, readers and sign language interpreters as the use of face masks for certain disabilities may render the examination inaccessible for certain disability types. Sign language interpreters for candidates with hearing impairment may not be required to wear face masks and therefore a safe distance of minimum 1m must be maintained between the interpreter and the candidates.
- 11. Know that candidates' needs are different and therefore only when it's appropriate and when candidates are comfortable should special arrangements be made as a response to COVID-19, as some candidates with special needs may be averse or sensitive (sensory issues e.g Autism) to having material on their skin such as face masks and face shields. This should be backed up by evidence and approved in advance by BEC. The approval letter should be produced during centre inspections. Care should be taken to ensure that the safety of candidates with special needs is not compromised.
- 12. Ensure safe exchange of question paper packets and scripts between Invigilators and candidates at all times before and after the examination by sanitising.
- 13. In all these undertakings and during the conduct of exam, the confidentiality of the examination should not be compromised.
- 14. Consult PSLE/JCE Office for further clarity at;

PSLE: 3650713/823JCE: 3650726/758



EXAM ROOM ENTRY REGISTER

APPENDIX J

QUALIFICATION/LEVEL:	CENTRE	EXAMINATION	ROOM	
QUALIFICATION/LEVEL:	NO	VEAD/SEDIES-	NO:	

NOTE: This form is to be completed by every personnel who goes into the examination room during a live examination.

Date	Name of the Visiting Personnel	Exam Component Taking Place	Purpose of the Visit	Time In	Time Out	Visitor's Signature	Entry Authorised By (Invigilator's Name)	Signature

Name of Chief Invigilator:	Signature:	Date:
rtaine or orner invigilator:		Date

This form may be required as evidence during investigations of alleged malpractice cases. It should be kept securely in the Centres secure storage until after the end of the results enquiries period which is six weeks after the release of results. Thereafter it can be disposed of like any other exam materials that the centres needs to dispose.